

A multispeed Discrete Boltzmann Model for transcritical 2D shallow water flows

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Abstract

In this work a Discrete Boltzmann Model for the solution of transcritical 2D shallow water flows is presented and validated. In order to provide the model with transcritical capabilities, a particular multispeed velocity set has been employed for the discretization of the Boltzmann equation. It is shown that this particular set naturally yields a simple and closed procedure to determine higher order equilibrium distribution functions needed to simulate transcritical flow. The model is validated through several classical benchmarks and is proven to correctly and accurately simulate both 1D and 2D transitions between the two flow regimes.

Keywords: Shallow water equations, Multispeed Discrete Boltzmann Model, Transcritical flows

1. Introduction

In the last two decades, the Lattice Boltzmann Method has known a growing popularity for the simulation of a variety of complex flows [3, 2]. In particular it has been recognized that some Lattice Boltzmann models can be deduced by discretising the continuous Boltzmann equation over a velocity space of finite dimension and by defining the Equilibrium Distribution Function (EDF) as a Taylor series expansion of the Maxwellian EDF with respect to the flow velocity [13]. The most commonly employed lattices (e.g. 2DQ9 and 3DQ19) guarantee the equivalence of the lattice Boltzmann model with the Navier-Stokes equation only in the low Mach number limit.

22 This is due to the fact that the velocity sets connected to such lattices are
 23 able to exactly reproduce only the lower order hydrodynamic moments of the
 24 Maxwellian EDF. In order to correctly reproduce higher order hydrodynamic
 25 moments, more complex discrete EDFs are needed which, in turn, require
 26 higher dimension velocity spaces [14]. In other words, the highest order of
 27 the exactly reproduced hydrodynamic moment is related to the truncation
 28 order of the Taylor series expansion of the Maxwellian EDF, which has to be
 29 supported by a suitable discretization of the velocity space [20]. A similar
 30 limitation is shared by the commonly employed 2DQ9 Lattice Boltzmann
 31 models equivalent to Shallow Water Equations [22]. These models, as for the
 32 Navier-Stokes equivalent Lattice Boltzmann models, are derived in the limit
 33 of low Froude number, the hydraulic counterpart of the Mach number. It is
 34 worth recalling that the Froude number is defined as $Fr = U/\sqrt{gh}$, where U
 35 is the characteristic depth-averaged flow velocity, h the water depth, and g
 36 the gravitational acceleration. As far as Navier-Stokes equation is concerned,
 37 the restriction to low Mach number flows still leaves room for the simulation
 38 of a number of technically and theoretically interesting flows. On the con-
 39 trary, in the shallow water framework, the corresponding limitation on the
 40 Froude number is a serious shortcoming for real-world applications, where
 41 transcritical flows (i.e. flows for which Fr is greater than one) are commonly
 42 encountered. A recent work [4] has shown that a 1D transcritical shallow
 43 water Lattice Boltzmann model can be constructed by means of asymmetri-
 44 cal lattices. However the extension to 2D is somehow cumbersome. Another
 45 way to achieve a Boltzmann-based supercritical model consists in directly
 46 integrating in time and space the continuous (in the velocity space) Boltz-
 47 mann equation, attaining the so-called Gas Kinetic scheme. This approach
 48 has been proposed and validated [6, 9, 21, 7], but the intrinsic and intriguing
 49 simplicity of the lattice Boltzmann model is lost. The aim of this work is to
 50 present and validate a generic multispeed 2D shallow water Discrete Boltz-
 51 mann Model (DBM), which partially recovers the original simplicity of the
 52 Lattice Boltzmann Model, while being able to simulate trans- and supercrit-
 53 ical shallow water flows. Hereinafter, by "multispeed", we mean 2D velocity
 54 sets with more than 9 elements. An ideal discretization of the velocity space
 55 should be such to allow for an arbitrary number of velocities, comply with
 56 the isotropy requirements and preserve exactness of the streaming phase, i.e.
 57 generate a space filling lattice. The fact that most multispeed discretizations
 58 yield non space filling lattices [14] is the main shortcoming of such multi-
 59 speed velocity sets, because the original simplicity of the Lattice Boltzmann

method, intrinsically connected to the streaming phase, is lost. In this work this shortcoming is overcome by employing a conventional finite difference scheme for the solution of the resulting multispeed 2D shallow water DBM. The structure of the paper is as follows: first, the Shallow Water Equations are briefly recalled for the reader's convenience; second, the derivation of a generic multispeed DBM is shown; third, the ability of the proposed DBM is tested by means of some selected benchmark cases; fourth, results are discussed and conclusions are drawn.

2. Shallow Water Equations

The 2D Shallow Water Equations set is:

$$\frac{\partial \mathbf{U}}{\partial t} + \nabla \cdot \underline{\mathbf{E}} = \mathbf{S} \quad (1)$$

where:

$$\mathbf{U} = \begin{pmatrix} h \\ uh \\ vh \end{pmatrix} \quad \underline{\mathbf{E}} = \begin{pmatrix} hu & hv \\ hu^2 + gh^2/2 & huv \\ huv & hv^2 + gh^2/2 \end{pmatrix} \quad \mathbf{S} = \begin{pmatrix} 0 \\ S_x \\ S_y \end{pmatrix} \quad (2)$$

and h, u, v are respectively the water depth and depth-averaged velocity components along horizontal directions x and y . In the above, S_x and S_y are the components of the external force per unit mass along x and y , usually encompassing various effects (bed slope, bed friction, wind-induced surface stress, etc.). The symbol $\nabla \cdot$ stands for divergence operator. The homogeneous part of (1) can be obtained from the the 3D, free surface formulation of the Euler equations, in the limit of "long waves", that is, the perturbations of the free surface having a length much larger than the undisturbed depth [10].

3. Systematic derivation of multispeed EDFs

3.1. Derivation based on Gauss-Hermite quadratures

Consider the following shallow water Maxwellian EDF:

$$f(h, \mathbf{u}, \mathbf{c}) = \frac{1}{\pi g} e^{-\frac{(\mathbf{c}-\mathbf{u}) \cdot (\mathbf{c}-\mathbf{u})}{c_h^2}} \quad (3)$$

where $\mathbf{c} = (c_x, c_y)$ is the particle velocity, $c_h = \sqrt{gh}$ and $\mathbf{u} = (u, v)$. The $(n + m)^{th}$ hydrodynamic moment I_{nm} ($n = 0, 1 \dots; m = 0, 1, \dots$) is defined as the statistical moment of (3):

$$I_{nm} = \iint_{-\infty}^{+\infty} f(h, \mathbf{u}, \mathbf{c}) c_x^n c_y^m dc_x dc_y \quad (4)$$

I_{nm} is a generic tensor, whose order is $n + m$. Zero, first and second order hydrodynamic moments $I_{00}, \{I_{10}, I_{01}\}, \{\{I_{20}, I_{11}\}, \{I_{11}, I_{02}\}\}$ are a scalar, a vector and a second order tensor respectively, namely the water depth, the specific discharge and the momentum flux:

$$\begin{aligned} I_{00} &= h \\ I_{10} &= hu \\ I_{01} &= hv \\ I_{20} &= \frac{gh^2}{2} + hu^2 \\ I_{11} &= huv \\ I_{02} &= \frac{gh^2}{2} + hv^2 \end{aligned} \quad (5)$$

Assuming a finite number of particle velocities (hereinafter referred to as velocity set), a set of EDFs is introduced, which can be approximated by an expansion of the Maxwellian EDF (3) in flow velocity.

The usual 2DQ9 lattice and the EDFs used for shallow water flows are able to correctly reproduce only the hydrodynamic moments (5) and, consequently, flows with $Fr < 1$ [22].

The systematic derivation of velocity sets and the corresponding EDFs able to reproduce high order hydrodynamic moments is based on the Taylor series expansion of (3), with respect to u, v :

$$\begin{aligned} f(h, \mathbf{u}, \mathbf{c}) &\approx \frac{1}{\pi g} e^{-\frac{c^2}{c_h^2}} \left(1 + 2\frac{c_x u}{c_h^2} - \frac{u^2}{c_h^2} + 2\left(\frac{c_x u}{c_h^2}\right)^2 + \frac{4}{3}\left(\frac{c_x u}{c_h^2}\right)^3 - 2\frac{u^3 c_x}{(c_h^2)^2} + \dots \right) \\ &\quad e^{-\frac{c_y^2}{c_h^2}} \left(1 + 2\frac{c_y v}{c_h^2} - \frac{v^2}{c_h^2} + 2\left(\frac{c_y v}{c_h^2}\right)^2 + \frac{4}{3}\left(\frac{c_y v}{c_h^2}\right)^3 - 2\frac{v^3 c_y}{(c_h^2)^2} + \dots \right) \end{aligned} \quad (6)$$

The adoption of a $n + m$ truncation order in (6) is a necessary condition to exactly reproduce moments up to the $n+m$ order. If the scaling $\xi = \frac{c_x}{c_h}, \eta = \frac{c_y}{c_h}$ is introduced into (6), the expression of the hydrodynamic moment (4) can be factorised as follows:

$$I_{nm} = h \frac{c_h^{n+m}}{\pi} \left(\int_{-\infty}^{+\infty} \xi^n p(\xi) e^{-\xi^2} d\xi \right) \left(\int_{-\infty}^{+\infty} \eta^m q(\eta) e^{-\eta^2} d\eta \right) \quad (7)$$

102 p, q are real valued functions defined by:

$$\begin{aligned} p(\xi) &= 1 + 2\frac{\xi u}{c_h} - \frac{u^2}{c_h^2} + 2\left(\frac{\xi u}{c_h}\right)^2 + \frac{4}{3}\left(\frac{\xi u}{c_h}\right)^3 - 2\frac{u^3 \xi}{c_h^3} + \dots \\ q(\eta) &= 1 + 2\frac{\eta v}{c_h} - \frac{v^2}{c_h^2} + 2\left(\frac{\eta v}{c_h}\right)^2 + \frac{4}{3}\left(\frac{\eta v}{c_h}\right)^3 - 2\frac{v^3 \eta}{c_h^3} + \dots \end{aligned} \quad (8)$$

103 Integrals in (7) can be approximated by the Gauss-Hermite formula [1]:

$$I_{nm} \approx h c_h^{n+m} \frac{N!M!}{N^2 M^2} \sum_{i=1}^N \sum_{j=1}^M \frac{2^{N+M-2} (\xi_i)^n (\eta_j)^m p(\xi_i) q(\eta_j)}{(H_{N-1}(\xi_i) H_{M-1}(\eta_j))^2} \quad (9)$$

104 being H_N, H_M the N^{th}, M^{th} order Hermite polynomials and ξ_i and η_j the
 105 i^{th}, j^{th} root of the N^{th}, M^{th} order Hermite polynomials respectively. From
 106 (9) it is straightforward to obtain the definitions of the velocity vectors and
 107 of the corresponding EDFs. Indeed, define the integer k as $k = ij$. The
 108 Cartesian components of the k^{th} velocity vector are obtained as:

$$\mathbf{c}_k = c_h \{\xi_i, \eta_j\} \quad 1 \leq i \leq N, \quad 1 \leq j \leq M, \quad 1 \leq k \leq NM \quad (10)$$

109 As a consequence, (9) takes the form:

$$I_{nm} \approx h \sum_{k=0}^{N \times M} c_{xk}^n c_{yk}^m w_k f_k^e \quad (11)$$

110 where the k^{th} EDF is given by:

$$f_k^e = p(\xi_i) q(\eta_j) \quad (12)$$

111 and the k^{th} weight coefficient, relative to the k^{th} EDF, by:

$$w_k = c_h^{n+m} \frac{N!M!}{(NM)^2} \frac{2^{N+M-2}}{(H_{N-1}(\xi_i) H_{M-1}(\eta_j))^2} \quad (13)$$

112 It is interesting to observe that, for any given N and M , the following
 113 properties hold for the weights and the velocity vectors (10):

$$\begin{aligned} \sum_{k=0}^{N \times M} w_k &= 1 \\ \sum_{k=0}^{N \times M} w_k c_{xk} &= \sum_{k=0}^{N \times M} w_k c_{yk} = 0 \\ \sum_{k=0}^{N \times M} w_k c_{xk}^2 &= \sum_{k=0}^{N \times M} w_k c_{yk}^2 = \frac{c_h^2}{2} \\ \sum_{k=0}^{N \times M} w_k c_{xk} c_{yk} &= 0 \end{aligned} \quad (14)$$

114 The third formula in (14) gives the “sound” velocity c_s associated to the
 115 chosen velocity set: $c_s^2 = c_h^2/2$ as in in Zhou [22]. Equation (10) allows for
 116 the definition of an arbitrary number of velocity sets and of the correspond-
 117 ing EDFs. As stated above, not all such multispeed sets generate Cartesian
 118 lattices. But this is not the major shortcoming. Indeed, such a systematic
 119 procedure for the definition of sets with an arbitrary number of velocities
 120 cannot be adopted in the shallow water framework because the velocity com-
 121 ponents in (10) depend on the water depth h and thus are not constant. This
 122 implies that the set of the Boltzmann-Bhatnagar Gross and Krook (BGK)
 123 kinetic equations:

$$\frac{\partial f_k}{\partial t} + \mathbf{c}_k \cdot \nabla f_k = \frac{f_k^e - f_k}{\tau} \quad (1 \leq k \leq N \times M) \quad (15)$$

124 in force of (10) is not equivalent to the homogeneous part of the Shallow
 125 Water Equations (1), being \mathbf{c}_k dependent on h and thus on space and time.
 126 In other words, in close analogy with thermal flows, a space-time dependent
 127 sound-speed rules out the use of standard equilibria obtainable by the above
 128 Gauss-Hermite quadrature, despite the fact that high order hydrodynamic
 129 moments can be exactly recovered by using (11).

130 3.2. Derivation based on the matching of hydrodynamic moments

131 A velocity set with $N_T + 1$ elements and the corresponding set of EDFs,
 132 to be used in the shallow water framework, can be defined starting from
 133 a polynomial expression of the k^{th} EDF [20]. In the present work, such
 134 polynomial expression retains terms up to fourth order:

$$f_k^e = h \left(A_k + B_k \frac{\mathbf{u} \cdot \mathbf{c}_k}{c_h^2} + C_k \frac{\mathbf{u} \cdot \mathbf{u}}{c_h^2} + D_k \left(\frac{\mathbf{u} \cdot \mathbf{c}_k}{c_h^2} \right)^2 + E_k \left(\frac{\mathbf{u} \cdot \mathbf{c}_k}{c_h^2} \right)^3 + \right. \\ \left. F_k \frac{(\mathbf{u} \cdot \mathbf{u})(\mathbf{u} \cdot \mathbf{c}_k)}{(c_h^2)^2} + G_k \left(\frac{\mathbf{u} \cdot \mathbf{u}}{c_h^2} \right)^2 + H_k \frac{(\mathbf{u} \cdot \mathbf{u})(\mathbf{u} \cdot \mathbf{c}_k)^2}{(c_h^2)^3} + I_k \left(\frac{\mathbf{u} \cdot \mathbf{c}_k}{c_h^2} \right)^4 \right) \quad (16)$$

135 The unknown constants A_k, \dots, I_k have to be determined by matching the
 136 discrete hydrodynamic moments of the EDFs (16) with the exact expressions
 137 (4):

$$\sum_{k=0}^{N_T} \tilde{c}_{xk}^m \tilde{c}_{yk}^n f_k^e = \frac{1}{\pi g} \int \int_{-\infty}^{+\infty} c_x^m c_y^n e^{-\frac{(\mathbf{c}-\mathbf{u}) \cdot (\mathbf{c}-\mathbf{u})}{c_h^2}} dc_x dc_y \quad (17)$$

138 where $\tilde{c}_{xk}, \tilde{c}_{yk}$ are the Cartesian components of the k^{th} velocity $\tilde{\mathbf{c}}_k$, $k =$
 139 $0, \dots, N_T$. It is necessary to obtain a number of matching conditions from

140 (17) equal to the number of unknown coefficients. Depending on the choice of
 141 the velocity set, the problem can be underdetermined. However, it is possible
 142 to reduce the number of constants by exploiting the isotropy properties of
 143 the velocity sets [20]. Indeed, velocities having the same magnitude share the
 144 same set of constants A_k, \dots, I_k . For this reason, it is useful to group them
 145 into subsets, hereinafter referred to as shells, based on their magnitude. In
 146 the following we will assume two shells of velocity vectors and the vanishing
 147 velocity:

$$\tilde{\mathbf{c}}_k \equiv \begin{cases} \{0, 0\}, & k = 0 \\ a c_0 \left\{ \cos\left(\frac{4\pi}{N_T}k\right), \sin\left(\frac{4\pi}{N_T}k\right) \right\}, & 1 \leq k \leq \frac{N_T}{2} \\ b c_0 \left\{ \cos\left(\frac{4\pi}{N_T}k\right), \sin\left(\frac{4\pi}{N_T}k\right) \right\}, & \frac{N_T}{2} < k \leq N_T \end{cases} \quad (18)$$

148 being a, b the dimensionless velocity magnitudes of the two shells, scaled by
 149 the constant velocity c_0 . In Fig. B.1 an example with $N_T = 16$, is shown.

150 [Figure 1 about here.]

151 The number of constants appearing in the definition of the EDFs (16)
 152 depends only on the number of shells and on the highest order of terms
 153 appearing in (16). Generally speaking, if n_s is the number of shells, the
 154 definition of EDFs in (16) need $3 + 9n_s$ constants. In appendix Appendix A
 155 the expressions of the 21 constants relative to the two velocity shells (18) are
 156 reported: these expressions, obtained imposing matching conditions (17), are
 157 valid for any given a, b, c_0, N_T . It is worth noting that coefficients A_k satisfy
 158 the same properties (14) of coefficients w_k :

$$\begin{aligned} \sum_{k=0}^{N_T} A_k &= 1 \\ \sum_{k=0}^{N_T} A_k \tilde{c}_{xk} &= \sum_{k=0}^{N_T} A_k \tilde{c}_{yk} = 0 \\ \sum_{k=0}^{N_T} A_k \tilde{c}_{xk}^2 &= \sum_{k=0}^{N_T} A_k \tilde{c}_{yk}^2 = \frac{c_h^2}{2} \\ \sum_{k=0}^{N_T} A_k \tilde{c}_{xk} \tilde{c}_{yk} &= 0 \end{aligned} \quad (19)$$

159 4. External forces

160 External forces of various types can be introduced as source terms in (15)
 161 by means of the following expression:

$$\phi_k = 2 \frac{\mathbf{F} \cdot \tilde{\mathbf{c}}_k}{\sum_{j=0}^{N_T} (\tilde{\mathbf{c}}_j \cdot \tilde{\mathbf{c}}_j)} \quad (20)$$

162 being \mathbf{F} the vector of the external forces. This study only deals with the
 163 force induced by the bed slope, whose expression is:

$$\mathbf{F} = -gh\nabla z_b \quad (21)$$

164 where $z_b = z_b(x, y)$ is the bottom elevation. Cartesian components F_x, F_y of
 165 the external force are obtained as:

$$\begin{aligned} F_x &= \sum_{k=0}^{N_T} \phi_k \tilde{c}_{xk} \\ F_y &= \sum_{k=0}^{N_T} \phi_k \tilde{c}_{yk} \end{aligned} \quad (22)$$

166 Then the kinetic equations (15) with external forces take the form:

$$\frac{\partial f_k}{\partial t} + \tilde{\mathbf{c}}_k \cdot \nabla f_k = \frac{f_k^e - f_k}{\tau} + \phi_k \quad k = 0, \dots, N_T \quad (23)$$

167 The most important property of the multispeed set (18) and the correspond-
 168 ing set of EDFs (16) is the ability of exactly reproducing the hydrodynamic
 169 moments up to fourth order. This property, when employed in a Chapman-
 170 Enskog expansion of the kinetic equations (23) [15], allows to prove that the
 171 kinetic equations (23) are equivalent to the Shallow Water Equations, with
 172 an approximation error proportional to ϵ^3 , being ϵ the smallness parameter
 173 of the Chapman-Enskog expansion. The calculations are standard but rather
 174 tedious and are briefly reported in appendix Appendix B for the sake of con-
 175 ciseness. The exact representation of higher order hydrodynamic moments
 176 is crucial in providing the model with the ability of simulating transcritical
 177 flows, in close analogy with the case of high Mach number flows [14].

178 5. Results

179 The $N_T + 1$ kinetic equations (23) are solved by means of a conventional
 180 finite difference numerical algorithm on a structured staggered 2D uniform
 181 Cartesian grid, employing an explicit first order discretization of the time

182 derivative and a first order upwind discretization of the space derivative [8].
 183 Equilibrium boundary conditions are employed as in Ubertini et al. [19].
 184 Stability is ensured by keeping the Courant number $U_{max}\Delta t/\Delta$ lower than
 185 1, being U_{max} the highest flow velocity in the domain and $\Delta = \Delta x = \Delta y$
 186 the grid spacing. In addition to the abovementioned stability condition on
 187 Courant number, the underlying Boltzmann dynamics requires the usual con-
 188 dition on the relaxation time τ^* ($\tau^* = \frac{\tau}{\Delta t} > \frac{1}{2}$) to be fulfilled [17]. It has
 189 been previously shown that stable Lattice Boltzmann-based shallow water
 190 simulations require high values for the relaxation time, and the resulting
 191 viscosity can restrict the field of applicability of such models [12]. For the
 192 cases considered here the minimum value for the relaxation time ensuring
 193 stability always resulted to be lower than one. In order to assess the ability
 194 of the multispeed DBM defined by the EDFs (16), the velocity set (18) and
 195 the kinetic equations (23) in simulating transcritical and supercritical shal-
 196 low water flows, the following benchmark cases have been considered: 1) the
 197 one-dimensional (1D) dam-break over a flat surface, 2) the 1D steady flow
 198 over an uneven bottom profile and 3) the 2D dam break over a horizontal
 199 bed.

200 5.1. 1D dam-break

201 The 1D dam break over a flat bottom is a very simple and yet effective
 202 case in assessing accuracy and reliability of any numerical method for the
 203 shallow water equations. It deals with the transient evolution of an initial
 204 discontinuity of the water level around the position $x = 0$. At $t = 0$ the
 205 water level h is equal to h_m , for $x \leq 0$, and to h_v , for $x > 0$. This Riemann
 206 problem admits the analytical solution of Stoker [16]:

$$h = \begin{cases} h_m, & x < t\sqrt{gh_m} \\ \frac{1}{9g} \left(2\sqrt{gh_m} - \frac{x}{t}\right)^2, & t\sqrt{gh_m} \leq x < (u^* - c^*)t \\ h^*, & (u^* - c^*)t \leq x < st \\ h_v, & st \leq x \end{cases}, \quad u = \begin{cases} u_m, & x < t\sqrt{gh_m} \\ \frac{2}{3} \left(\sqrt{gh_m} + \frac{x}{t}\right), & t\sqrt{gh_m} \leq x < (u^* - c^*)t \\ u^*, & (u^* - c^*)t \leq x < st \\ u_v, & st \leq x \end{cases} \quad (24)$$

207 where s is the shock's propagation speed, which is a function of the interme-
 208 diate water depth and velocity, h^*, u^* according to the following relations:

$$\begin{cases} u^* = s - gh_v \frac{1 + \sqrt{1 + \frac{8s^2}{gh_v}}}{4s} \\ h^* = -\frac{s}{u^* - s} h_v \\ u^* + 2\sqrt{gh^*} = 2\sqrt{gh_m} \end{cases} \quad (25)$$

209 The typical shape of the Stoker's solution for the 1D dam-break flow is shown
 210 in Fig. B.2, where it is compared to the DBM results in terms of depth, flow
 211 velocity and Froude number. Numerical results are normalized by h_m (water
 212 depth), $\sqrt{gh_m}$ (flow velocity) and L (channel's length).

213 [Figure 2 about here.]

214 The agreement is considerably good and it has been quantified by the mean
 215 absolute error, whose definition for a generic variable q is:

$$Err[q] = \frac{\int |q_{ref}(x) - q(x)| dx}{\int q_{ref}(x) dx} \quad (26)$$

216 For the case considered $Err[h] \sim 10^{-2}$. The agreement between numerical
 217 and analytical flow velocity is as good as for the water depth, the corre-
 218 sponding error $Err[u]$ having the same order of magnitude. The comparison
 219 in terms of Froude number shows a discrepancy between numerical and ana-
 220 lytical results near the shock which heavily influences the value of a lumped
 221 error measure such as (26). The overall agreement is anyway remarkable. It
 222 is worth observing the ability of the proposed DBM to smoothly simulate
 223 the sub-supercritical transition, without any instability issue, in a case for
 224 which the maximum Froude number is equal to: $Fr = 5.74$. The simulation,
 225 though intrinsically 1D, has been performed in a 2D domain discretized by
 226 1000×5 nodes. Free-slip boundary conditions are imposed everywhere.

227 Three different velocity sets have been implemented, obtained by increas-
 228 ing the number of allowed velocities: 21, 41, 81. It is worth noting that, no
 229 significant improvement of numerical results has been observed by increas-
 230 ing the number of allowed velocities, as long as it is higher than a minimum
 231 value, below which the simulation becomes unstable. Hence, unless otherwise
 232 specified, a 21 velocity set model has been used for all the simulations.

233 It is worth noting that instabilities are generated only if a critical tran-
 234 sition ($Fr = 1$) occurs. The spatial resolution affects the accuracy of the

front position, i.e. the lower the height h_v the finer the grid needs to be for an accurate representation of the front position, as usual for the numerical solution of shallow water models. Values of the parameters a, b, c_0 have been set to $1, 2, \sqrt{gh_m}$ respectively. The minimum value of the relaxation time ensuring stability was 0.8.

5.2. 1D steady flow over a bottom profile

In this benchmark the ability of simulating a transcritical flow induced by external forces is tested. More specifically, a steady state solution with a known analytical formulation is considered. Consider a 1D straight channel of length L , described by the x abscissa, and having the following bed elevation profile:

$$z_b(x) = \alpha e^{-\left(\frac{x-x_1}{\sigma}\right)^2} + \beta e^{-\left(\frac{x-x_2}{\sigma}\right)^2} + \gamma e^{-\left(\frac{x-x_3}{\sigma}\right)^2} \quad (27)$$

which consists of three consecutive Gaussian bumps, whose crests are respectively located at x_1, x_2, x_3 , with elevations α, β, γ , and common variance σ . Fixing the specific (per unit width) discharge q_0 over the whole domain, the critical depth can be calculated as:

$$h_c = \left(\frac{q_0}{\sqrt{g}} \right)^{\frac{2}{3}} \quad (28)$$

Imposing that the current goes through critical depth over the top of the second and third bump (i.e. at $x = x_2, x = x_3$), it is possible, under the hypothesis of no energy dissipation, to analytically derive the steady water depth profile shown in Fig. B.3. This steady motion consists of a subcritical-subcritical flow over the first bump at $x = x_1$, followed by two subcritical-supercritical transitions over the second and third bump at $x = x_2, x = x_3$ respectively. Downstream of the first sub-supercritical transition a super-subcritical transition, i.e. a hydraulic jump, occurs. The hydraulic jump occurs where the upstream supercritical specific thrust equals the downstream subcritical one, that is where: $F(h_u, q_0) = F(h_d, q_0)$, the thrust being defined as:

$$F(h, q_0) = \rho (gh^3 + 2q_0^2) / 2h \quad (29)$$

h_u, h_d, ρ are the water depths upstream and downstream of the hydraulic jump and the density of water respectively. The steady state DBM numerical profile is obtained evolving from an initial uniform water depth, keeping the upstream water depth and discharge fixed. The numerical solution is

264 considered to be steady when the normalized maximum increment I_s between
 265 two consecutive timesteps n and $(n - 1)$:

$$I_s = \max_i \frac{|h_i^n - h_i^{n-1}|}{h_i^{n-1}} \quad (30)$$

266 satisfies the condition: $I_s < 10^{-8}$. The steady state is reached at $t \sim 100s$.
 267 In the upper panel of Fig. B.3 the nondimensional analytical and numerical
 268 water depth profiles are shown. The water depth scale is the critical depth
 269 (28). In the lower panel of Fig. B.3 the analytical and numerical Froude
 270 number profiles are shown. All profiles in Fig. B.3 employ a horizontal length
 271 scaling equal to σ . The agreement between numerical and analytical data is
 272 remarkably good. For the case considered the error (26) is: $Err[h] \sim 10^{-3}$.
 273 The super-subcritical transition occurs as a discontinuity, i.e. as an abrupt
 274 elevation, of the water depth. This behavior is reflected in the plot of the
 275 Froude number shown in Fig. B.3: immediately downstream the smooth sub-
 276 supercritical transition occurring over the second bump at $x = x_2$, the inverse
 277 transition is revealed by a sudden decrease of the Froude number, caused by
 278 an abrupt increase of the depth and a corresponding decrease of the flow
 279 velocity, i.e. a bore or hydraulic jump occurring at $31.3 \leq x/\sigma \leq 31.4$. It is
 280 worth noting that the bed profile has been chosen in such a way to make this
 281 super-subcritical transition occur at a location where the bed slope, though
 282 very small, is not null: the analytical solution for both the depth and the flow
 283 velocity profile immediately downstream the hydraulic jump thus consists in a
 284 smooth variation toward the downstream horizontal profile. The simulation,
 285 though intrinsically 1D, has been performed in a 2D domain discretized by
 286 1000×5 nodes. Free-slip conditions have been imposed on all boundaries. For
 287 this test case, the parameters a, b, c_0 have been set to $1, 2, \sqrt{gh_0}$ respectively.
 288 A set of 81 velocities has been employed. The relaxation time was chosen as
 289 $\tau^* = 0.8$.

290 [Figure 3 about here.]

291 It is interesting to observe that the numerical steady flow agrees remarkably
 292 well with the analytical solution, which has been obtained in the assumption
 293 of no dissipative force acting. This suggests that the main source of numerical
 294 viscosity for the model under consideration seems to stem from the first order
 295 time discretization, and not from the spatial one, which does have some
 296 effects, as the next test will show. This test and the previous one demonstrate

297 how the proposed model is able to flawlessly simulate supercritical shallow
 298 water regimes with Froude number much higher than any other Boltzmann-
 299 based models so far presented in literature.

300 5.3. 2D dam-break

301 The 2D dam break test of Fennema & Chaudhry [5] is here considered. It
 302 consists of the propagation of a wave triggered by the instantaneous collapse
 303 of a lock separating two parts of the domain, each one with a different initial
 304 water level at rest (see the sketch in Fig. B.4 for reference). The square
 305 domain has a side of $200m$, is divided into two equal parts by a $10m$ thick
 306 wall. The wall has a $75m$ wide breach, extending from $y = 95m$ to $y = 170m$.

307 [Figure 4 about here.]

308 The initial water level is equal to h_m on the left of the wall (namely $x \leq$
 309 $95m$), and to h_v on the right of the wall (namely $x > 95m$). At $t =$
 310 $0s$ the breach is considered open and a 2D dam break flow is generated.
 311 Numerical simulations described in the following are relative to a case with
 312 $h_m = 10m, h_v = 0.3m$. Such markedly high difference was chosen to produce
 313 transcritical 2D shocks. The simulation is characterized by the propagation
 314 of a weak, rounded-shaped shock traveling almost perpendicularly to the
 315 dividing wall, meanwhile, a rarefaction wave spreads radially into the left
 316 part of the domain with a speed which is almost half of the shock's one ((24)
 317 gives a close estimate of such celerities). The shock impacts on the opposite
 318 wall and is reflected in the form of a strong, wide and backward travelling
 319 hydraulic jump; the accumulation of water along the opposite wall spreads
 320 laterally and impinges into both lateral walls creating a complicated system
 321 of waves traveling back toward the breach and propagating upwind through
 322 the aperture into the still basin.

323 [Figure 5 about here.]

324 No analytical solution is available for this benchmark thus in this work a
 325 well established and validated numerical model has been considered as ref-
 326 erence. The reference numerical model integrates the 2D Shallow Water
 327 Equations (1) by means of a Finite Volume, shock capturing scheme over
 328 an unstructured triangular mesh [11]. It employs a second order Total Vari-
 329 ation Diminishing, Weighted Average Flux method [18]. Such scheme has

330 been developed in order to guarantee correct propagation speed of discon-
 331 tinuous solutions, while maintaining a second order accuracy over smooth
 332 ones.

333 [Figure 6 about here.]

334 The DBM simulation has been carried out on a 100×100 grid. Values of the
 335 parameters a, b, c_0 have been set to $1, 2, \sqrt{gh_m}$ respectively. The employed
 336 velocity set retained 81 elements and τ^* was set to 0.8. The finite volume
 337 numerical simulation has been carried out on an unstructured mesh of 3574
 338 triangular cells.

339 The assessment of the accuracy of the present model is carried out compar-
 340 ing the time histories of depth and Froude number (Fig. B.5), and specific
 341 discharges q_x, q_y (Fig. B.6) at points P_1, P_2, P_3, P_4 (see Fig. B.4 for points' lo-
 342 cations), with the results yielded by the reference model. Specific discharges
 343 q_x, q_y are defined as: $q_x = uh, q_y = vh$ and scaled with $q_0 = h_m \sqrt{gh_m}$.

344 The two models substantially yield the same results, being the shape of
 345 the time histories very similar at most of the measuring points. The propa-
 346 gation of steep shocks, observable at P2 for example, is well reproduced both
 347 in terms of strength and speed, with a slight advance of the proposed model
 348 for what concerns the bore reflected by the front wall. It is worth noting
 349 that the flow becomes markedly supercritical, as can be seen by inspecting
 350 the Froude number values attained at points P_1 and P_2 in Fig. B.5. The
 351 proposed model shows a marked numerical viscosity compared to the refer-
 352 ence model, due both to the adopted time-space integration of the governing
 353 (23) and to the value of the relaxation time.

354 [Figure 7 about here.]

355 The main purpose of this benchmark is, as stated above, to check for the abil-
 356 ity of the model to correctly compose multidirectional transcritical shocks:
 357 based on the outcome of the comparison, it can be concluded that the model
 358 possesses such feature. In addition to such quantitative assessment, a gen-
 359 eral idea on the ability of the proposed multispeed DBM can be gleaned from
 360 Fig. B.7, which shows the distribution of the water surface elevation in the
 361 whole domain at $t = 26.9s$. In Fig. B.7 both the horizontal and the vertical
 362 lengthscale are expressed in meters. All the most important flow structures
 363 are very similar in both panels, in particular the shape and the position of
 364 the curved shock.

365 6. Conclusions

366 In this work a Discrete Boltzmann Model able to solve the 2D transcritical Shallow Water Equations has been developed and validated. The model
 367 employs an original discretization of the continuous particle velocity space
 368 consisting of two sets of velocities grouped on the basis of their magnitude.
 369 The particular structure of the chosen velocity set allows to significantly reduce the number of unknown coefficients of a fourth order polynomial expression of the Equilibrium Distribution Functions: the coefficients are obtained
 370 by matching discrete hydrodynamics moments up to fourth order with their
 371 continuous counterparts. The ability of the model to reproduce high order moments is found to provide transcritical capabilities. The benchmarks carried
 372 out allowed for a thorough assessment of the ability of the proposed DBM to correctly converge to the solution of the Shallow Water Equations when
 373 1D or 2D strongly supercritical flow structures develop: flows with Froude number up to $Fr \sim 6$ have been accurately simulated. This study shows that
 374 Boltzmann-based methods can be extended to the simulation of trans- and
 375 supercritical shallow water flows, frequently found in real-world applications.

382 Appendix A. Definition of the EDF coefficients.

383 If we define $\phi = c_0/\sqrt{gh}$, for $k = 0$:

$$A_0 = 1 - (a^2 + b^2 - \frac{2}{\phi^2})/(\phi^2 a^2 b^2) \quad (A.1)$$

$$C_0 = (\frac{4}{\phi^2} - a^2 - b^2)/(a^2 b^2) \quad (A.2)$$

$$G_0 = 1/(a^2 b^2) \quad (A.3)$$

386 Defining $\beta = 1/[N_T(a^2 - b^2)]$, for $1 \leq k \leq \frac{N_T}{2}$:

$$A_k = \beta \frac{2(2 - \phi^2 b^2)}{a^2 \phi^4} \quad (A.4)$$

$$B_k = \beta \frac{4(2 - \phi^2 b^2)}{a^2 \phi^2} \quad (A.5)$$

$$C_k = -\beta \frac{2(2 - \phi^2 b^2)}{a^2 \phi^2} \quad (A.6)$$

389

$$D_k = \beta \frac{8(3 - \phi^2 b^2)}{a^4 \phi^2} \quad (\text{A.7})$$

390

$$E_k = \beta \frac{16}{3a^4} \quad (\text{A.8})$$

391

$$F_k = 0 \quad (\text{A.9})$$

392

$$G_k = -\beta \frac{1}{a^2} \quad (\text{A.10})$$

393

$$H_k = 0 \quad (\text{A.11})$$

394

$$I_k = \beta \frac{8}{a^6} \quad (\text{A.12})$$

395 For $\frac{N_T}{2} + 1 \leq k \leq N_T$:

$$A_k = \beta \frac{2(a^2 \phi^2 - 2)}{b^2 \phi^4} \quad (\text{A.13})$$

396

$$B_k = \beta \frac{4(a^2 \phi^2 - 2)}{b^2 \phi^2} \quad (\text{A.14})$$

397

$$C_k = -\beta \frac{2(a^2 \phi^2 - 2)}{b^2 \phi^2} \quad (\text{A.15})$$

398

$$D_k = \beta \frac{8(a^2 \phi^2 - 3)}{b^4 \phi^2} \quad (\text{A.16})$$

399

$$E_k = \beta \frac{16(2a^2 - 3b^2)}{3b^6} \quad (\text{A.17})$$

400

$$F_k = -\beta \frac{8(a^2 - b^2)}{b^4} \quad (\text{A.18})$$

401

$$G_k = \beta \frac{1}{b^4} (3a^2 - 2b^2) \quad (\text{A.19})$$

402

$$H_k = -\beta \frac{24(a^2 - b^2)}{b^6} \quad (\text{A.20})$$

403

$$I_k = \beta \frac{8}{b^8} (3a^2 - 4b^2) \quad (\text{A.21})$$

404 **Appendix B. Chapman-Enskog expansion of the kinetic equations**
 405 **(23)**

406 Consider the scaling:

$$\begin{aligned}
 \tilde{t} &= \frac{c_0 t}{L} \\
 \tilde{\nabla} &= L \nabla \\
 \tilde{\tau} &= \frac{\tau}{t_c} \\
 \epsilon &= \frac{c_0 t_c}{L} \\
 \hat{\mathbf{c}}_k &= \frac{\mathbf{c}_k}{c_0} \\
 \tilde{f}_k &= \frac{f_k}{H}, \quad \tilde{f}_k^e = \frac{f_k^e}{H}
 \end{aligned} \tag{B.1}$$

407 where H, L, t_c are respectively the macroscopic vertical and horizontal
 408 lengthscales and the mesoscopic timescale, i.e. the time interval between two
 409 successive collisions. Applying the scaling (B.1) to time and space deriva-
 410 tives in (23) and expanding them in perturbative series with respect to the
 411 smallness parameter ϵ , (namely the Knudsen number, provided a convective
 412 scaling is assumed), the following expressions can be obtained:

$$\begin{aligned}
 \tilde{f}_k &= \tilde{f}_k^e + \epsilon f_k^1 + \epsilon^2 f_k^2 + \epsilon^3 f_k^3 + \epsilon^4 f_k^4 + \dots \\
 \frac{\partial}{\partial \tilde{t}} &= \frac{\partial}{\partial t_1} + \epsilon \frac{\partial}{\partial t_2} + \epsilon^2 \frac{\partial}{\partial t_3} + \epsilon^3 \frac{\partial}{\partial t_4} + \dots \\
 \tilde{\nabla} &= \nabla_1 + \epsilon \nabla_2 + \epsilon^2 \nabla_3 + \epsilon^3 \nabla_4 + \dots
 \end{aligned} \tag{B.2}$$

413 The following equations are obtained at each order:

$$\begin{aligned}
 \epsilon^0) \quad & \frac{\partial \tilde{f}_k^e}{\partial t_1} + \nabla_1 \cdot (\hat{\mathbf{c}}_k \tilde{f}_k^e) = -\frac{f_k^1}{\tilde{\tau}} \\
 \epsilon^1) \quad & \frac{\partial f_k^1}{\partial t_1} + \nabla_1 \cdot (\hat{\mathbf{c}}_k f_k^1) + \left(\frac{\partial \tilde{f}_k^e}{\partial t_2} + \nabla_2 \cdot (\hat{\mathbf{c}}_k \tilde{f}_k^e) \right) = -\frac{f_k^2}{\tilde{\tau}} \\
 \epsilon^2) \quad & \frac{\partial f_k^2}{\partial t_1} + \nabla_1 \cdot (\hat{\mathbf{c}}_k f_k^2) + \left(\frac{\partial f_k^1}{\partial t_2} + \nabla_2 \cdot (\hat{\mathbf{c}}_k f_k^1) \right) + \left(\frac{\partial \tilde{f}_k^e}{\partial t_3} + \nabla_3 \cdot (\hat{\mathbf{c}}_k \tilde{f}_k^e) \right) = -\frac{f_k^3}{\tilde{\tau}} \\
 \epsilon^3) \quad & \frac{\partial f_k^3}{\partial t_1} + \nabla_1 \cdot (\hat{\mathbf{c}}_k f_k^3) + \left(\frac{\partial f_k^2}{\partial t_2} + \nabla_2 \cdot (\hat{\mathbf{c}}_k f_k^2) \right) + \left(\frac{\partial f_k^1}{\partial t_3} + \nabla_3 \cdot (\hat{\mathbf{c}}_k f_k^1) \right) + \left(\frac{\partial \tilde{f}_k^e}{\partial t_4} + \nabla_4 \cdot (\hat{\mathbf{c}}_k \tilde{f}_k^e) \right) = -\frac{f_k^4}{\tilde{\tau}} \\
 & \vdots
 \end{aligned} \tag{B.3}$$

414 Summing (B.3) with respect to k and accounting for the fact that:

$$\begin{aligned}
 \sum_{k=0}^{N_T} \tilde{f}_k^e &= \frac{h}{H} \\
 \sum_{k=0}^{N_T} \hat{\mathbf{c}}_k \tilde{f}_k^e &= \frac{h \mathbf{u}}{c_0 H} \\
 \sum_{k=0}^{N_T} f_k^i &= 0; \quad i = 1, 2, \dots \\
 \sum_{k=0}^{N_T} \hat{\mathbf{c}}_k f_k^i &= 0; \quad i = 1, 2, \dots
 \end{aligned} \tag{B.4}$$

415 it is straightforward to obtain the shallow water mass balance equation, which
 416 in dimensional form is given by:

$$\frac{\partial h}{\partial t} + \nabla \cdot (h\mathbf{u}) = 0 \quad (\text{B.5})$$

417 Multiplying each (B.3) by $\hat{\mathbf{c}}_k$, summing with respect to k and accounting for
 418 the definitions of the hydrodynamic moments:

$$\begin{aligned} \mathfrak{S}_2 &= \sum_{k=0}^{N_T} \hat{\mathbf{c}}_k \hat{\mathbf{c}}_k \tilde{f}_k^e = \frac{1}{c_0^2 H} \left(h\mathbf{u} \otimes \mathbf{u} + g \frac{h^2}{2} \mathbf{I} \right) \\ \mathfrak{S}_3 &= \sum_{k=0}^{N_T} \hat{\mathbf{c}}_k \hat{\mathbf{c}}_k \hat{\mathbf{c}}_k \tilde{f}_k^e \\ \mathfrak{S}_4 &= \sum_{k=0}^{N_T} \hat{\mathbf{c}}_k \hat{\mathbf{c}}_k \hat{\mathbf{c}}_k \hat{\mathbf{c}}_k \tilde{f}_k^e \end{aligned}$$

419 where symbol \otimes indicates the dyadic product of the vector \mathbf{u} with itself
 420 and \mathbf{I} is the identity matrix, the following form of the macroscopic momentum
 421 balance equation is obtained:

$$\begin{aligned} \frac{\partial h\mathbf{u}}{\partial t} + \nabla \cdot \left(h\mathbf{u} \otimes \mathbf{u} + g \frac{h^2}{2} \mathbf{I} \right) &= \frac{c_0^2 H}{L} \left[\epsilon \tilde{\tau} \nabla_1 \cdot \left(\frac{\partial \mathfrak{S}_2}{\partial t_1} + \nabla_1 \cdot \mathfrak{S}_3 \right) + \right. \\ &\quad \epsilon^2 \tilde{\tau} \nabla_2 \cdot \left(\frac{\partial \mathfrak{S}_2}{\partial t_1} + \nabla_1 \cdot \mathfrak{S}_3 \right) + \epsilon^2 \tilde{\tau} \nabla_1 \cdot \left(\frac{\partial \mathfrak{S}_2}{\partial t_2} + \nabla_2 \cdot \mathfrak{S}_3 \right) - \\ &\quad \left. - \epsilon^2 \tilde{\tau} \nabla_1 \cdot \left(\frac{\partial^2 \mathfrak{S}_2}{\partial t_1^2} + 2 \frac{\partial}{\partial t_1} \nabla_1 \cdot \mathfrak{S}_3 + \nabla_1 \cdot \nabla_1 \cdot \mathfrak{S}_4 \right) + \epsilon^3 \dots \right] \end{aligned} \quad (\text{B.6})$$

422 Thus (23), together with the velocity set (18) and the EDFs (16) are equiva-
 423 lent to the shallow water momentum balance equation (2). The equivalence
 424 is meant in the limit of small ϵ . The approximation error is proportional to
 425 ϵ^3 , due to the fact that the proposed EDFs (16) together with the velocity set
 426 (18) are able to reproduce exactly all the hydrodynamic moments appearing
 427 at right hand side of (B.6): i.e. the first term which is not correctly repro-
 428 duced in (B.6) is proportional to ϵ^3 . This is the reason of the ability of the
 429 proposed discrete Boltzmann equation in simulating trans- and supercritical
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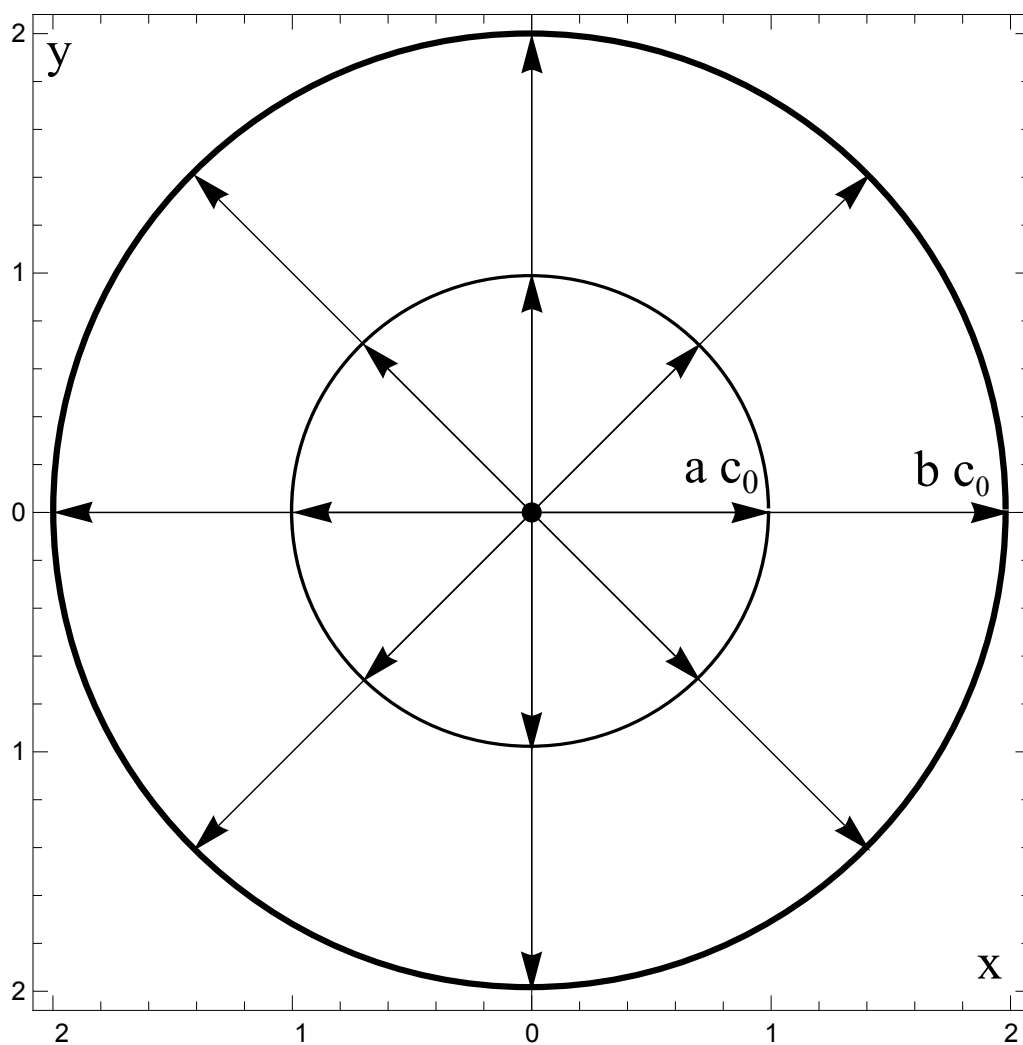


Figure B.1: Sketch of the velocity set

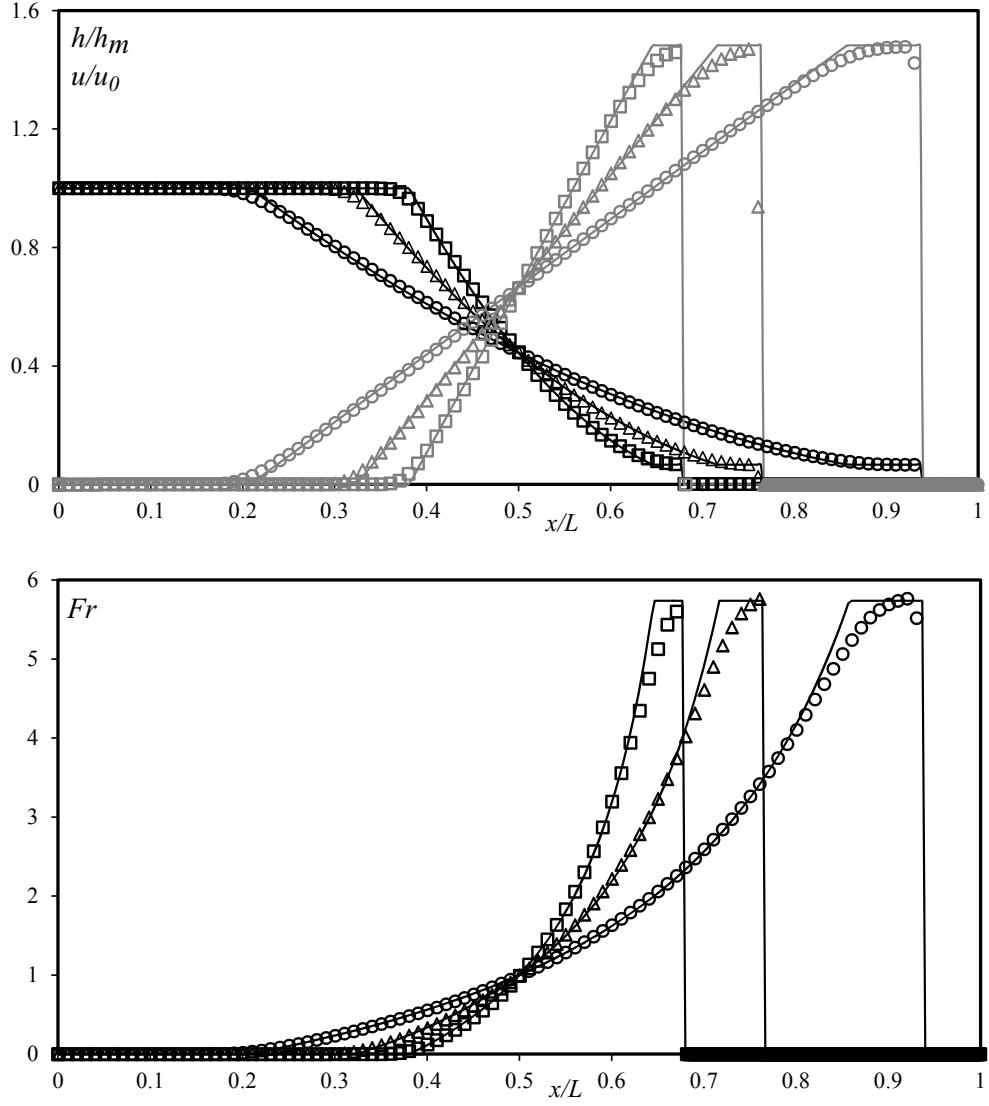


Figure B.2: 1D dam-break, comparison between analytical (solid traces) and numerical results (markers): upper panel, nondimensional water depth (black traces) and fluid velocity profiles (grey traces); lower panel, Froude Number profile. $t^* = 0.12$: \square ; $t^* = 0.17$: \triangle ; $t^* = 0.29$: \circ ; where $t^* = t\sqrt{gh_m}/L$

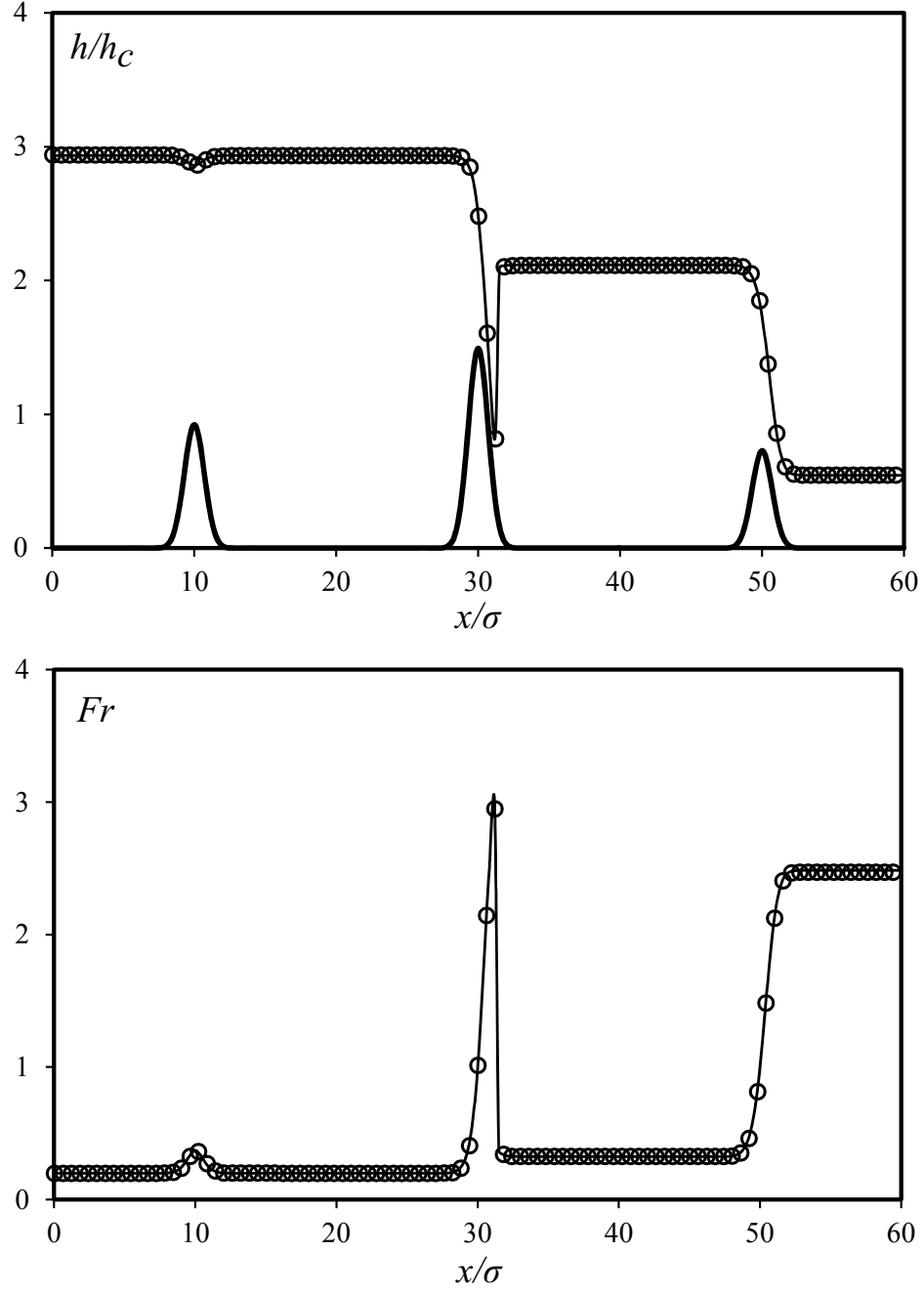


Figure B.3: 1D Steady flow profiles over gaussian bumps, comparison between analytical (solid traces) and numerical (markers) results: upper panel, nondimensional water depth; lower panel, Froude number.

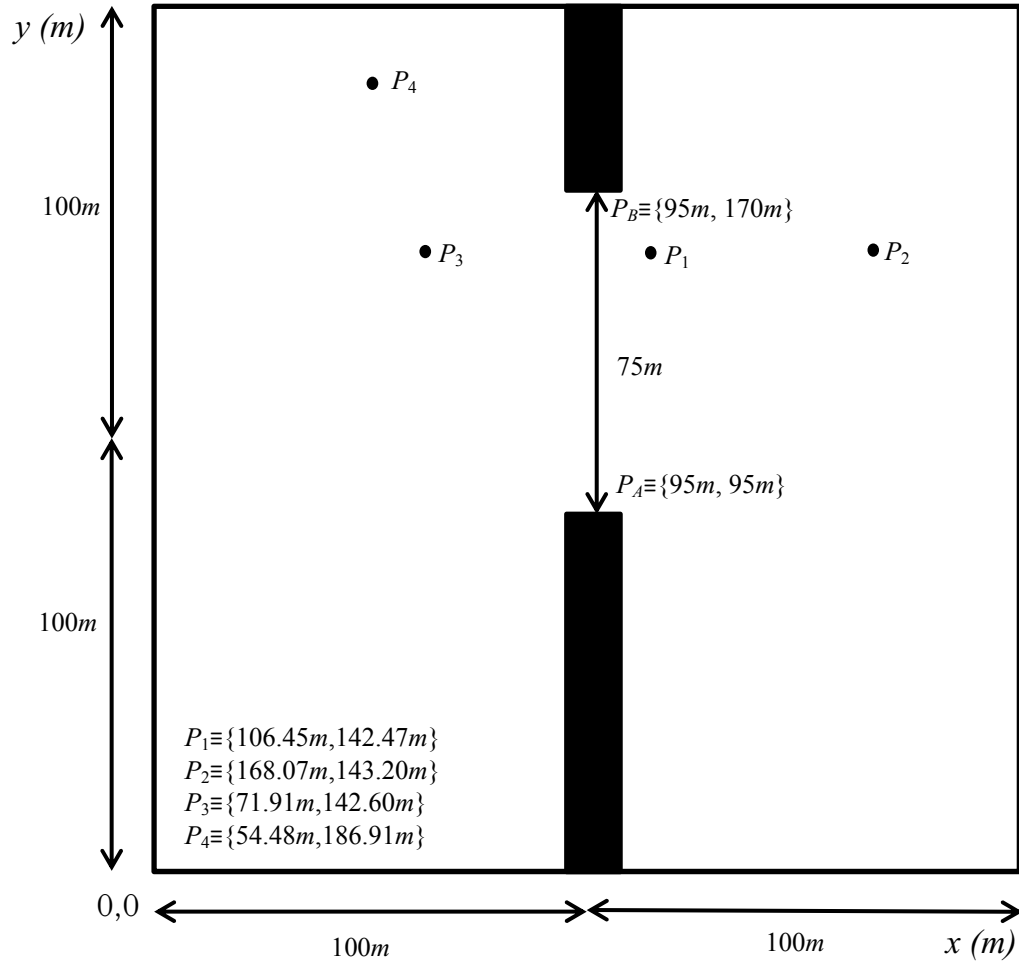


Figure B.4: Sketch of the 2D dam break benchmark of Fennema & Chaudhry [5].

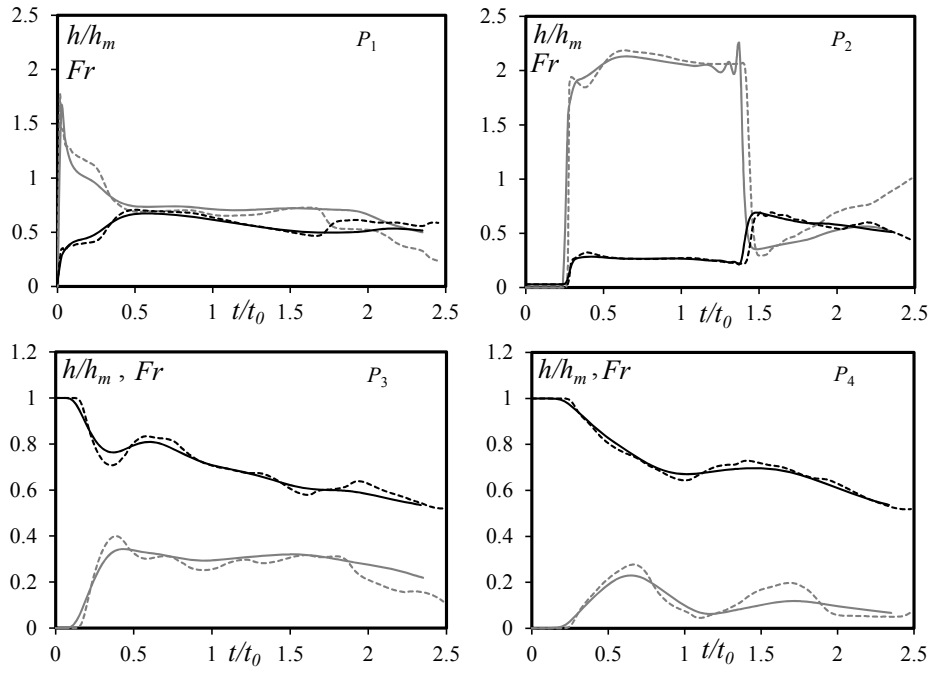


Figure B.5: Time histories of nondimensional water depth (black trace) and Froude number (grey trace) at gauge locations. Comparison between numerical model (solid traces) and reference solution (dashed traces).

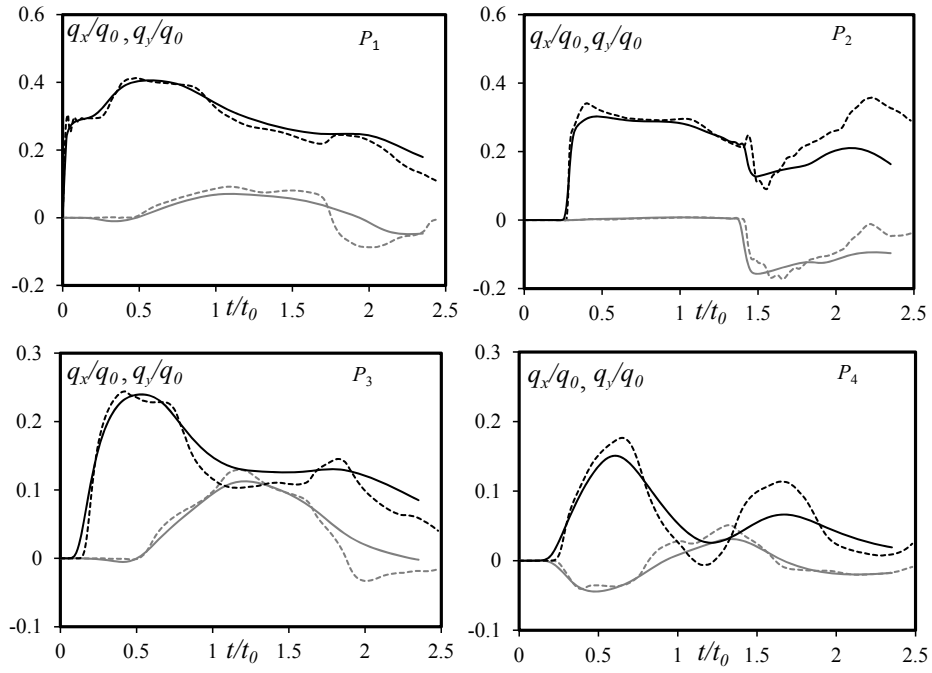


Figure B.6: Time histories of nondimensional specific discharge (along x-direction black traces, along y-direction, grey traces) at gauge locations. Comparison between numerical model (solid traces) and reference solution (dashed traces).

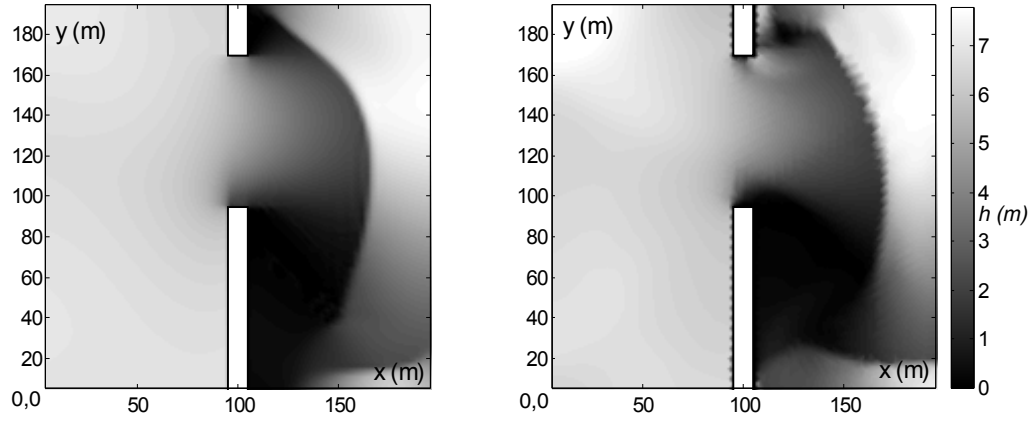


Figure B.7: 2D plot of the water depth in the whole domain at $t = 29s$. Left panel: DBM numerical results. Right panel: numerical reference results. Distances and water depth values are expressed in meters.