

Graphene Fabry-Perot Cavity Leaky-Wave Antennas: Plasmonic vs. Non-plasmonic Solutions

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Abstract—Tunable THz antennas based on a single unpatterned graphene sheet placed inside a grounded dielectric multilayer are studied with the aim of characterizing their performance in terms of pattern reconfigurability, directivity, and radiation efficiency. The considered structures belong to the class of Fabry-Perot cavity (FPC) antennas, whose radiation mechanism relies on the excitation of cylindrical leaky waves with an ordinary (i.e., non-plasmonic) sinusoidal transverse modal profile. This allows for achieving radiation efficiencies considerably higher than those of alternative graphene-based radiators based on the excitation of surface-plasmon polaritons (SPPs) either in bound or leaky propagation regimes. A customized efficient circuit model has been employed in order to obtain all the radiation characteristics of such graphene FPC antennas, which have been also fully validated by means of a CAD tool. The role of the graphene quality is explicitly taken into account in this comprehensive investigation, proving that it plays a remarkable role in establishing the antenna performance. In particular, it is expected that the standard quality of graphene allows for designing low-efficiency reconfigurable THz antennas based on SPPs and, conversely, high-efficiency FPC antennas with slightly reduced reconfigurability.

Index Terms—Graphene, Leaky-wave antennas, Plasmonics, Fabry-Perot cavities, Terahertz, Tunable antennas.

I. INTRODUCTION

IN THE last decades, the scientific research community has made big efforts in order to close as much as possible the THz gap [1], [2] in view of the number of potential applications with high social and scientific impact [3]–[5]. In this framework, a crucial role is played by the development of efficient and directive THz antennas and sensors [5]–[7].

Graphene [8] is an outstanding material for THz frequencies thanks to its unique electronic properties in this part of the spectrum [9]. Amongst them, the possibility to tune its surface conductivity by the simple application of a bias voltage [8], combined with the possibility of supporting transversely confined surface-plasmon polaritons (SPPs) [10], has opened very interesting perspectives in the context of THz antennas [6], [7]. Recently, the propagation of SPPs along a graphene sheet has been exploited in order to design reconfigurable THz reflectarrays [11], periodic leaky-wave antennas [12], [13], and dipole-like antennas [7]. These devices are able to achieve

good performance if compared with the current state of the art in THz antennas [1]. However, the well-known relatively-high losses experienced by SPPs over graphene limit the efficiencies of these antennas to values on the order of 20% [7], [13], [14].

In a previous work [15], starting from the original investigations of Hanson [16], [17], the Authors studied the propagation of leaky waves in a Fabry-Perot Cavity Leaky-Wave Antenna (FPC-LWA) [18]. An alternative FPC-LWA was recently proposed in [19]. However, the directivity of both the antennas in [15] and [19] is rather low. A possible solution for improving the directivity at broadside of the FPC-LWA in [15] has recently been considered by the Authors in [20], where a cover layer (superstrate) is added on the top of the graphene sheet, as suggested in [21] and [22] for designing conventional high-gain printed antennas. As shown in [20], it is possible to further improve the directivity of the antenna by placing the graphene sheet in a suitable position *inside* the substrate. Such a preliminary analysis furnished an *optimum* position in terms of directivity at broadside, but it did not provide any insights about other relevant figures of merit, such as the radiation efficiency and the pattern reconfigurability.

As a matter of fact, it has recently been shown [23], [24] that fundamental limits exist on the efficiency of any reconfigurable graphene antenna. However, we note that the fundamental role of the graphene losses in affecting the performance of such kind of radiators has not been yet properly analyzed in detail. Radiative losses in the class of composite right/left-handed (CRLH) graphene LWAs have been recently considered in [26]. However, a thorough investigation of dissipation losses and radiation efficiencies of THz graphene antennas based both on plasmonic and non-plasmonic field configurations has never been considered in the literature.

In this paper we therefore aim to perform an accurate analysis of the radiative performance in terms of directivity, pattern reconfigurability, and radiation efficiency of graphene-based FPC antennas: we focus our investigation on FPC-LWAs, whose radiation mechanism is based on the excitation of *ordinary* (i.e., non-plasmonic) leaky waves which exhibit a sinusoidal transverse modal profile [15], [20], and compare them with those of graphene antennas based on the excitation of SPPs either in bound or leaky propagation regimes [7], [12], [13] (a few preliminary results of such a study were presented in [25]). The ultimate goal is to assess the true limitations of these devices and ascertain the benefits of designing graphene THz antennas whose radiation mechanism is based on non-plasmonic leaky waves. Furthermore, the role of graphene quality (primarily determined by its relaxation time) has been

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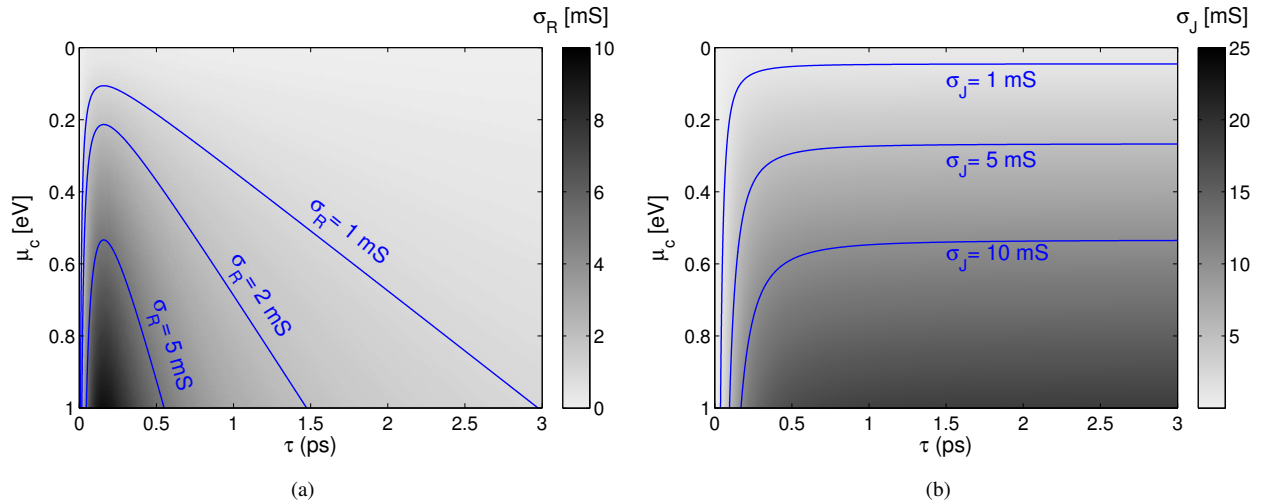


Fig. 1. (a) Graphene conductance σ_R and (b) graphene susceptance σ_J as a function of the chemical potential μ_c (in the range 0 eV to 1 eV) and of the relaxation time τ (in the range 0 ps to 3 ps) at $f = 1$ THz. σ_J is hereby defined as $-\Im[\sigma]$ due to the inductive nature of graphene in the considered range. Several contour lines are reported in cyan color for both σ_R and σ_J .

carefully addressed, showing how it affects the performance of the proposed devices. Finally, a design trade-off between efficiency, directivity, and angular reconfigurability of the radiation pattern is illustrated for the substrate-superstrate configuration (see, e.g., [20]), which is shown to provide additional desirable degrees of freedom with respect to other solutions [7], [12], [13], [15].

The paper is organized as follows. The role of graphene ohmic losses on plasmonic propagation is analyzed in Sec. II, deriving a simple but rigorous formula. Sec. III is devoted to the analysis of both single- and double-layer graphene FPC-LWAs, whose radiation features are calculated through an ad-hoc circuit model and validated with a commercial CAD software: a comprehensive discussion on efficiency, directivity, and reconfigurability of these antennas is carried out in terms of the available design parameters. Sec. IV discusses important technological issues to be considered in the practical implementation of any graphene-based LWAs. Sec. V provides concluding remarks.

II. ANALYSIS OF LOSSES ALONG SUSPENDED GRAPHENE SHEETS

A. Graphene ohmic losses

As is known, graphene is a one-atom thick layer of carbon atoms arranged in a honeycomb lattice. Due to its infinitesimal thickness, graphene is adequately treated as a two-dimensional metamaterial (metasurface) [27] whose surface conductivity is a scalar that can be calculated in the frequency domain through the well-known Kubo formula (neglecting non-local effects) [28]. In particular, in the low THz range, i.e., for $f \in [0.3, 1]$ THz and at room temperature, i.e., $T = 300$ K, the graphene surface conductivity σ is sufficiently well-described by a Drude-like expression by retaining only the intraband contribution of Kubo formula [16], which expresses σ as a

complex-valued scalar function of the chemical potential μ_c , the frequency f , and the relaxation time τ :

$$\sigma = \sigma_R - j\sigma_J = \frac{2q_e^2 k_B T}{(\tau^{-1} + j\omega)\pi\hbar^2} \ln \left[\cosh \left(\frac{\mu_c}{2k_B T} \right) \right] \quad (1)$$

where $\omega = 2\pi f$ is the angular frequency (a time dependence $\exp(j\omega t)$ is assumed throughout the paper), q_e is the electron charge, k_B is the Boltzmann constant, and \hbar is the reduced Planck constant; σ_R and $-\sigma_J$ expressed in Siemens (S) are the conductance and the susceptance of graphene equivalent admittance, respectively. Clearly, when the frequency f is fixed, σ depends only on μ_c and τ . The former (μ_c) usually assumes values from 0 eV to 1 eV [17]. The latter (τ) mainly depends on the quality of the graphene sample; in the current literature various values in the range 0.01 – 10 ps have been assumed [29].

It is worth here to stress that, despite the existence of sophisticated models [30], [31] which account for the impact of phonon-scattering, grain boundaries and impurities, etc. on graphene quality (either represented by its charge carrier mobility μ , or represented by its relaxation time τ), the latter strongly varies sample by sample, depending also on the adopted synthesis technique [32]. Thus, a thorough analysis of graphene conductivity should take into account the variability of the relaxation time within a suitable range of values provided by experimental data. The interested reader can refer to the recent detailed survey proposed in [33].

In this framework, in order to make our analysis as general as possible, we have considered values of τ ranging from 0 ps to 3 ps (which is the highest value of τ that one can hope for pristine graphene), rather than limit our study to one specific value. In Figs. 1(a) and (b) the values of σ_R and σ_J , at $f = 1$ THz, are reported as functions of τ and μ_c . As expected, the resistive part of graphene conductivity (σ_R) increases as μ_c increases and τ decreases (note that the graphene quality is worse for lower values of τ), whereas its reactive part (σ_J) increases as τ and μ_c both increase. This behavior was already

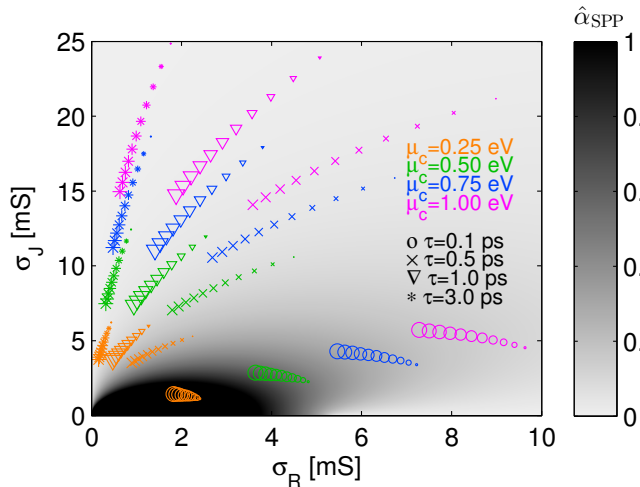


Fig. 2. Intensity of plasmonic dissipation losses $\hat{\alpha}_{SPP}$ in the range $[0, 1]$ in the σ complex plane. The dynamic range of $\hat{\alpha}_{SPP}$ has been saturated to values greater than 1 for readability purposes. The paths followed by the graphene surface conductivity in the complex plane have been reported for values of f ranging from 0.75 THz to 1.25 THz (size of the symbols increases), τ ranging from 0.1 ps to 3 ps (symbols change shape in the following order: \circ , \times , ∇ , $*$) and μ_c ranging from 0.25 eV to 1 eV (color of the symbol change in the following order: orange, green, blue, and magenta). The black region represents the area characterized by the highest dissipation losses and is attained by graphene samples with both lower μ_c and τ .

commented in [15], where it was emphasized that, for high values of μ_c , σ becomes mostly reactive, so that graphene can be switched from a bad to a good conductor when μ_c is raised in the range 0 eV to 1 eV. However, from Fig. 1(a) we now notice that also the ohmic losses increase for high values of μ_c . Hence, biased graphene, even if of good quality (high values of τ), behaves as a good conductor with non-negligible ohmic losses in the considered THz range.

B. Graphene plasmonic losses

As is known [10], a graphene sheet supports an SPP, i.e., an attenuated surface wave transversely evanescent on both sides of the sheet, with complex wavenumber $\hat{k}_{SPP} = \hat{\beta}_{SPP} - j\hat{\alpha}_{SPP} = k_{SPP}/k_0$ (k_0 being the wavenumber in vacuum). Since $\hat{\beta}_{SPP} \gg 1$, the modal field is highly confined in the vicinity of the sheet.

Both the propagation wavenumber \hat{k}_{SPP} and the modal configuration of the SPP directly depend on σ . For the simplest case of a graphene sheet suspended in vacuum (this is also a good approximation for a graphene sheet in air above a ground plane at a distance greater than half the wavelength in air [12]), \hat{k}_{SPP} can be calculated in closed form [10], [34]. In particular, with the aid of some algebraic manipulations, it is possible to derive an exact formula for the dissipation losses, expressed by $\hat{\alpha}_{SPP}$ as a function of σ_R and σ_J :

$$\hat{\alpha}_{SPP} = -\frac{\sqrt{\Delta^2 + \Pi^2}}{\sigma_R^2 + \sigma_J^2} (\sigma_J \cos \phi_0 + \sigma_R \sin \phi_0) \quad (2)$$

where $\phi_0 = (1/2) \arctan(\Pi/\Delta)$, $\Pi = -2\sigma_R\sigma_J$, and $\Delta = \sigma_R^2 - \sigma_J^2 - 4/\zeta_0^2$ (ζ_0 is the characteristic impedance of vacuum).

In Fig. 2, the value of $\hat{\alpha}_{SPP}$, calculated using Eq. (2), is represented as a greyscale map in the complex plane of σ for the range of values achieved by σ_R and σ_J in Figs. 1(a) and (b), respectively. Furthermore, the paths followed by the σ when frequency ranges from 0.75 THz (the smallest size of the symbols) to 1.25 THz (the largest size of the symbols) are represented for values of μ_c from 0.25 eV to 1 eV (using different colors) and for values of τ from 0.1 ps to 3 ps (using different symbols). Note that $\tau = 0.1$ ps is a typical value for graphene on SiO₂ substrate [26]. It can be observed that:

- For any biasing status (μ_c) or graphene quality (τ), increasing frequency leads to higher losses (the frequency sensitivity of σ increasing for larger μ_c).
- By increasing μ_c , the level of losses decreases (σ moving approximately along a radial line whose slope depends on τ and f).
- By increasing τ , the level of losses decreases (σ moving approximately along an arc of circumference centered at the origin whose radius depends on μ_c and f).

Note that we consider here the quantity $\hat{\alpha}_{SPP} = \alpha_{SPP}/k_0 = \alpha_{SPP}\lambda_0/2\pi$ as a figure of merit for the dissipation losses of the SPP since we are dealing with antenna applications, where the relevant dimensions are typically related to the free-space wavelength λ_0 . For guided-wave applications (e.g., nano-interconnects, phase shifters, etc.), other figures of merit may be appropriate (such as $\alpha_{SPP}/\beta_{SPP} = \alpha_{SPP}\lambda_g/2\pi$, related to the SPP guided wavelength λ_g) [7], [13], [35].

The operating conditions of most graphene THz antennas based on SPPs found in the literature [7], [12], [13] are such that $\tau \simeq 1$ ps and $\mu_c \simeq 0.5$ eV at frequency of $f \simeq 1$ THz. From Fig. 2, this choice would lead to $\hat{\alpha}_{SPP} \simeq 0.1$ in agreement with the values found in [12], where a silica substrate with $\epsilon_r = 3.8$ is considered instead of air, (resulting in a scaling factor of $(\epsilon_r + 1)/2$). The resulting dissipation losses are the most important limiting factor for the radiation efficiency η of graphene THz antennas based on SPPs, which are typically lower than 20% [7], [12], [13]. A similar result was recently emphasized in [36] in connection with the use of silver patches in optical nanoantennas. Hence, Eq. (2) as well as Fig. 2 may provide a useful tool for the design of either optical or THz antennas based on metasurfaces (note that Fig. 2 is specifically related to graphene plasmonic losses, but Eq. (2) can be used for any metasurface whose surface admittance σ is known).

III. ANALYSIS OF RADIATION EFFICIENCIES IN FPC-LWAS

In the previous section it has been shown that dissipation losses in SPP-based THz antennas may lead to very low efficiencies. To overcome these limitations, we consider now the propagation of the *ordinary* fundamental TE-TM leaky mode pair inside two different FPC-LWAs: a Graphene-based Single-Layer (GSL) antenna [15] and a Graphene-based Double-Layer (GDL) antenna [20].

Considerable physical insight can be gained by evaluating and comparing the modal field configuration for both the fundamental TM leaky mode and the SPP mode supported

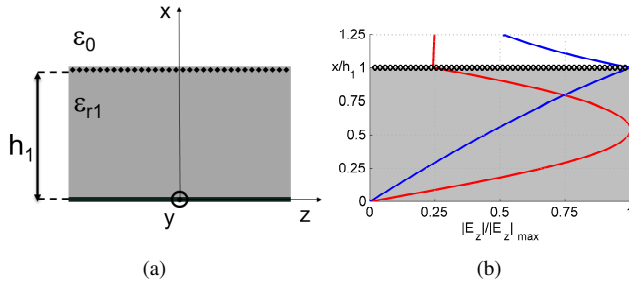


Fig. 3. (a) Two-dimensional (2-D) sketch of the GSL structure ($\epsilon_{r1} = 3.8$). The biasing scheme is not reported. (b) Normalized field configurations of the tangential component of the electric field E_z at $f = 0.92$ THz for the fundamental TM leaky mode (red line) and the SPP (blue line) in a GSL antenna. Grey and white regions represent the substrate and the air, respectively, whereas the black diamonds stand for the graphene sheet. The x -axis is normalized to the height of the substrate h_1 .

by an FPC-LWA; these have been computed by means of a standard field-matching procedure [37] and are shown in Fig. 3(b) for the GSL structure described in Fig. 3(a) (parameters as in [15]). On one hand, the SPP modal configuration is highly confined in proximity of the graphene sheet where the electric field is maximum. This means that the graphene surface conductivity strongly affects the modal fields and in turn radiation; at the same time, graphene ohmic losses impact more, so that the efficiency is lower. On the other hand, the LW mode configuration resembles the one of the fundamental TM mode of a parallel-plate waveguide (PPW), i.e., an ordinary mode with sinusoidal transverse variation and a maximum on the middle plane of the antenna cavity. As a consequence, the variation of the graphene surface conductivity may have a reduced impact on the radiating features. At the same time, graphene ohmic losses impact less and in turn efficiency should be significantly higher. Such considerations motivate the use of antennas based on *ordinary* leaky waves rather than those based on SPPs (either in guided or leaky regimes), for designing efficient reconfigurable graphene-based THz antennas.

A. Graphene Single-Layer (GSL) Antenna

A GSL antenna [15] (see Fig. 4 with the relevant coordinate frame) consists of a grounded dielectric layer of relative permittivity ϵ_{r1} , covered with a uniform graphene sheet, possibly biased through the application of an electrostatic field between the graphene sheet and a conductive THz transparent polymer sheet (e.g., PEDOT:PSS [38]) placed just underneath (see Sec. IV for details about the biasing scheme). As in a conventional FPC antenna design [21], the thickness of the dielectric layer h_1 is chosen equal to half the wavelength in the medium at the operating frequency (in all results, parameters $f = 1$ THz, $\epsilon_{r1} = 3.8$ (SiO₂ layer), and $\tau = 3$ ps, are chosen as in [15]).

In [15], [20] it has been shown that, by exciting the fundamental TE-TM leaky mode pair with a horizontal magnetic dipole (HMD) source placed on the ground plane along y axis, the tunability of graphene conductivity (controlled by an external bias voltage) allows for achieving beam scanning at fixed frequency, which is an uncommon feature for conventional LWAs [18], [39].

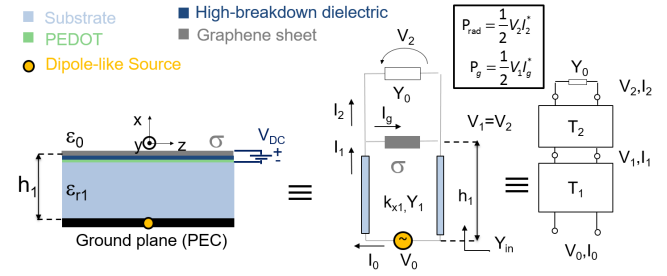


Fig. 4. (2-D) sketch, TEN model, and ABCD-matrix representation of a GSL antenna.

With the help of the reciprocity theorem [19], [40], the far-field radiation patterns of the GSL antenna have been calculated based on both the transverse equivalent network (TEN) model shown in Fig. 4 and the electromagnetic CAD tool CST Microwave Studio [41], as in [36]. As is known, for scanned beams the TE leaky mode primarily determines the radiation features in the H-plane (xy -plane), whereas the TM leaky mode determines those in the E-plane (xz -plane) as illustrated in Fig. 5(a); for broadside patterns, both TE and TM leaky modes are required for achieving a directive (pencil) beam [21], [42]. The relevant results for the radiation patterns are reported in Figs. 5(b) and (c) for the H and E planes, respectively. An excellent agreement between our TEN approach and the CAD tool is observed. We finally note that graphene has been modeled in CST with the built-in two-dimensional (2-D) model which implements the full-integral expression of Kubo formula [28]. The almost perfect agreement between our analytical results and full-wave simulations confirms the validity of our approach, in particular as regards the use of Eq. (1) for modeling the graphene surface conductivity, as was also observed in [15], [43] where more sophisticated models taking into account the spatial dispersion of graphene [44] were adopted.

It is important to evaluate the theoretical radiation efficiency in terms of the ratio $\eta = P_{\text{rad}}/(P_{\text{rad}} + P_g + P_L)$ where P_{rad} is the power radiated in space, P_g is the power dissipated along the graphene sheet, and P_L is the power dissipated at the antenna termination [45]. As is typical [46], these structures are assumed to be electrically large in the transverse plane so that P_L is negligible, thus η reduces to $\eta = P_{\text{rad}}/(P_{\text{rad}} + P_g)$. (Note that practical dimensions of such antennas are on the order of several hundreds of μm as shown in [12], [15]).

The quantities P_{rad} and P_g have been evaluated using the TEN model and the ABCD-matrix representation [47] as in Fig. 4, where the ABCD parameters of the transmission matrices T_1 and T_2 are given by: $A_1 = D_1 = \cos(k_{x1}h_1)$, $B_1 = jY_1 \sin(k_{x1}h_1)$, $C_1 = jY_1^{-1} \sin(k_{x1}h_1)$ and $A_2 = D_2 = 1$, $B_2 = 0$, $C_2 = \sigma$, $D_2 = 1$, respectively, where Y_1 and $k_{x1} = \sqrt{(k_0^2 \epsilon_{r1} - k_z^2)}$ are the characteristic admittance and the vertical wavenumber within the substrate, respectively, whereas $k_z = \beta_z - j\alpha_z$ is the complex longitudinal wavenumber, with β_z and α_z the relevant phase and attenuation (or leakage) constants, respectively. Note that k_z and in turn k_x are given by the complex roots of the dispersion equation, obtained by applying the

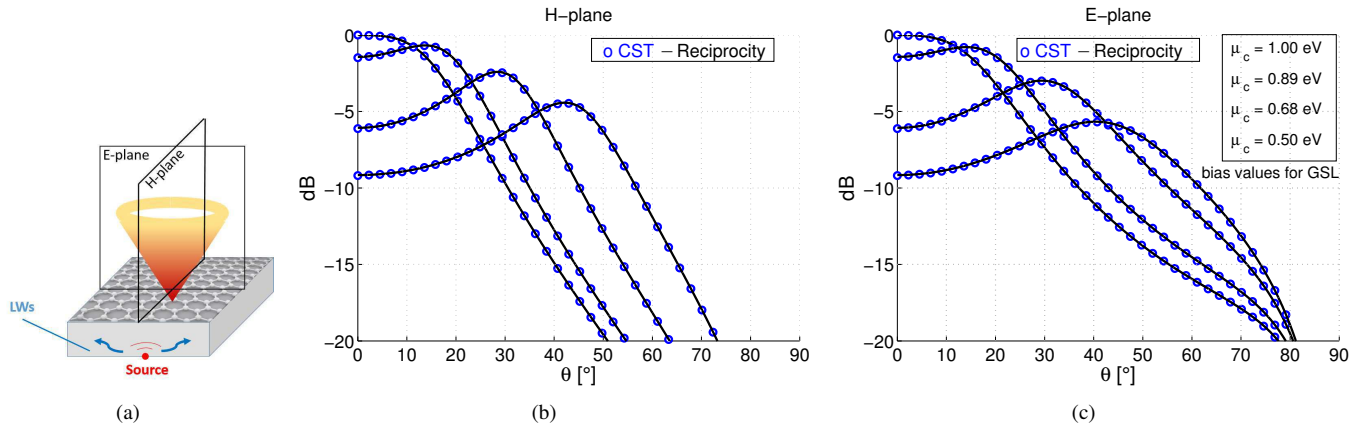


Fig. 5. (a) Illustrative example of the typical scannable conical beam-scanning feature of a GSL antenna. In (b) and (c), the radiation patterns normalized to the overall maximum (achieved at broadside) vs. elevation angle θ for the GSL antenna represented in (a) are reported for the H-plane and E-plane, respectively. Analytical results are plotted in black solid lines, whereas full-wave results obtained with the tool CST Microwave Studio [41] are given by blue circles. The scanning behavior at a fixed frequency ($f_c = 0.922$) is shown for beam maxima at $\theta = 0^\circ, 15^\circ, 30^\circ, 45^\circ$. The corresponding chemical potentials are reported in the legend.

transverse resonance technique [15], [47]–[49] on the TEN model in Fig. 4. Here, only the dominant TM-TE leaky modes are considered, thus k_x is selected so that $\Im[k_z] < 0$ and $\Re[k_x] > 0$ [50].

Our analysis shows that for a GSL antenna operating at $f = 0.922$ THz with the fundamental TM leaky mode and pointing at broadside with $\hat{\beta}_z \simeq \hat{\alpha}_z \simeq 0.24$ and $\mu_c = 1$ eV, the theoretical radiation efficiency is $\eta \simeq 70\%$, considerably larger than the values obtained in [7], [12], [13] for graphene-based antennas operating with a SPP. This improved efficiency is paid at the expense of a slightly reduced reconfigurability, as can be seen by comparing the dynamic range of μ_c that is needed to scan an angular range of 45° reported in [15] in the case of ordinary leaky waves (there, μ_c scans a range from 1 eV to 0.5 eV) with the one reported in [12] for SPPs (there, μ_c scans a range from 1 eV to 0.6 eV).

A concluding remark on the performance of the GSL antenna concerns the obtained directivity. As is seen in Figs. 5(b) and (c), the half-power beamwidth (HPBW) is rather large on both planes, thus directivity is rather low. This is mainly due to the relatively high values attained by the normalized attenuation constant $\hat{\alpha}_z$. To improve directivity, an innovative GDL antenna has recently been proposed in [20]. In the following subsection, we briefly review the main features of such an antenna and discuss, for the first time, its performance in terms of efficiency, directivity, and reconfigurability.

B. Graphene Double-Layer (GDL) Antenna

A GDL antenna [20] (see Fig. 6) consists of a grounded dielectric layer of relative permittivity ϵ_{r1} (the substrate) in which a graphene sheet is embedded at a suitable position, covered with a dielectric layer of permittivity $\epsilon_{r2} \gg \epsilon_{r1}$ (the superstrate). In a conventional substrate-superstrate (SS) antenna [21], directivity at broadside is improved when the thickness of the substrate h_1 and of the superstrate h_2 are chosen equal to a half and to a quarter of the wavelength in the media at the operating frequency, respectively (in all results, parameters $f = 1$ THz, $\epsilon_{r1} = 3.8$, $\epsilon_{r2} = 25$, and

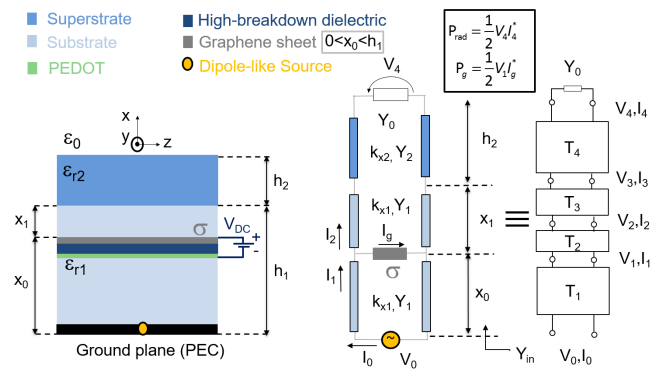


Fig. 6. 2-D sketch, TEN model, and ABCD-matrix representation of a GDL antenna.

$\tau = 3$ ps, are chosen as in [20]). It has recently been shown [20] that when an SS antenna is perturbed by the presence of a graphene monolayer, the directivity at broadside can be further improved if graphene is placed at a suitable position x_0 within the substrate. In [20] a parametric analysis has shown that for $x_0 \simeq 0.8h_1$ the attenuation constant is minimum at the cutoff frequency f_c (i.e., at the frequency for which $\hat{\beta}_z(f_c) = \hat{\alpha}_z(f_c)$ [42]) and hence directivity at broadside is maximum.

It is worth here to remark that in 2-D LWAs the directivity is straightforwardly related to the normalized attenuation constant $\hat{\alpha}_z$. In particular, for directive antennas the half-power beamwidth $\Delta\theta_{BW}$ is given by $\Delta\theta_{BW} \simeq 2\hat{\alpha}_z / \cos\theta$ for $\theta \neq 0$ and $\Delta\theta_{BW} \simeq 2\sqrt{2}\hat{\alpha}_z$ for $\theta = 0$ [46]. Thus, the directivity at broadside ($\theta = 0$) can be approximated by the following formula $D_0 \simeq 4\pi / \Delta\theta_{BW}^2 \simeq 0.5\pi / \hat{\alpha}_z^2$.

The radiation patterns of the GDL antenna (Fig. 7(a)) in both the H- and E-planes are reported in Figs. 7(b) and (c), respectively. A comparison of Figs. 5(b) and (c) with Figs. 7(b) and (c) confirms that this *optimized* GDL antenna shows substantially improved directivities with respect to the GSL antenna in the considered angular ranges, as already

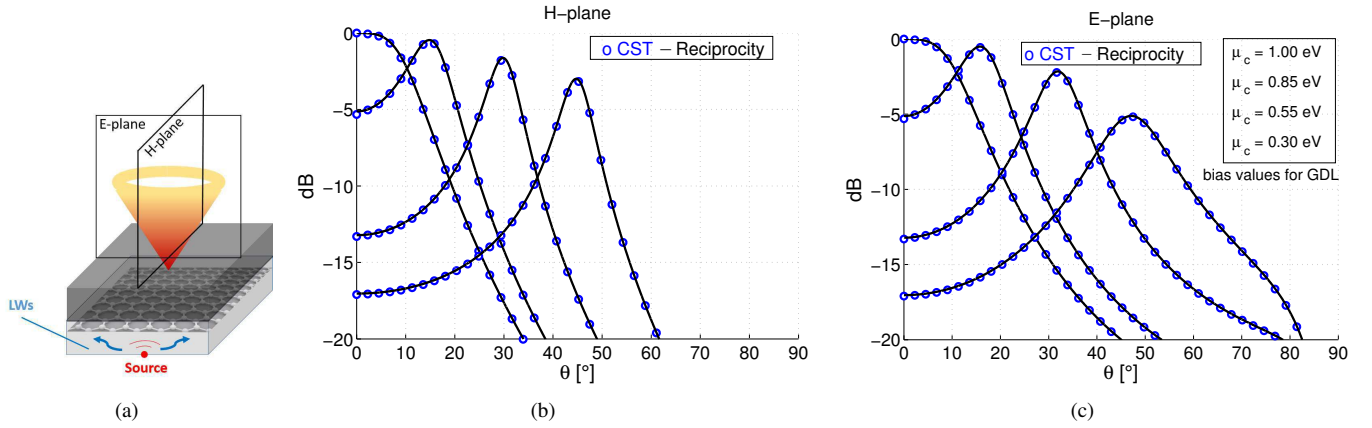


Fig. 7. (a) Illustrative example of the typical conical beam-scanning feature of a GDL antenna. In (b) and (c), the radiation patterns normalized to the overall maximum (achieved at broadside) vs. elevation angle θ for the GDL antenna represented in (a) are reported for the H-plane and E-plane, respectively. Analytical results are plotted in black solid lines, while full-wave results obtained with the tool CST Microwave Studio [41] are given by blue circles. The scanning behavior at a fixed frequency ($f_c = 1.13$ THz) is shown for beam maxima at $\theta = 0^\circ, 15^\circ, 30^\circ, 45^\circ$. The corresponding chemical potentials are reported in the legend.

emphasized in [20].

As for the GSL antenna, it is important to evaluate the theoretical radiation efficiency η of the GDL antenna. Following the same procedure outlined in the previous subsection, P_g and P_{rad} have been evaluated using the TEN model and the ABCD-matrix representation as in Fig. 6, where the ABCD parameters of the transmission matrices T_1, T_2, T_3 , and T_4 are given by: $A_1 = D_1 = \cos(k_{x1}x_0)$, $B_1 = jY_1 \sin(k_{x1}x_0)$, $C_1 = jY_1^{-1} \sin(k_{x1}x_0)$, $A_2 = D_2 = 1$, $B_2 = 0$, $C_2 = \sigma$, $A_3 = D_3 = \cos(k_{x1}x_1)$, $B_3 = jY_1 \sin(k_{x1}x_1)$, $C_3 = jY_1^{-1} \sin(k_{x1}x_1)$, $A_4 = D_4 = \cos(k_{x2}h_2)$, $B_4 = jY_2 \sin(k_{x2}h_2)$, $C_4 = jY_2^{-1} \sin(k_{x2}h_2)$, where Y_2 and $k_{x2} = \sqrt{(k_0^2 \epsilon_{r2} - k_z^2)}$ are the characteristic admittance and the vertical wavenumber within the superstrate, respectively.

In [20] it was shown that the directivity at broadside is a non-linear function of the graphene position x_0 . As it can be inferred from the expressions of the ABCD parameters, η is also a non-linear function of the graphene position x_0 ; this can be observed in Fig. 8, where the values of the efficiency η (thick red solid line) and of the directivity at broadside (thin blue solid line) normalized to its maximum $\bar{D}_0 = D_0/D_{0max}$ are reported for graphene positions ranging from the ground plane ($x_0 = 0$) to the substrate-superstrate interface ($x_0 = h_1$). Since the maximum directivity does not correspond to a maximum of the efficiency, the *optimal* position for the directivity, i.e., $x_0 = 0.82h_1$, does not lead to the best configuration in terms of efficiency.

In order to take into account both the directivity and the radiation efficiency in the design process of such LWAs, we have therefore defined a suitable function:

$$f(x_0/h_1) = w(\eta(x_0/h_1)) + (1 - w)\bar{D}_0(x_0/h_1) \quad (3)$$

where $w \in [0, 1] \subset \mathbb{R}$ is an arbitrary parameter which represents the weight given to the efficiency. Note that $f(x_0/h_1)$ is a convex combination of $\eta(x_0/h_1)$ and $\bar{D}_0(x_0/h_1)$ and that maximizing this function would lead to maximizing the efficiency for $w \rightarrow 1$ or to maximizing the directivity at

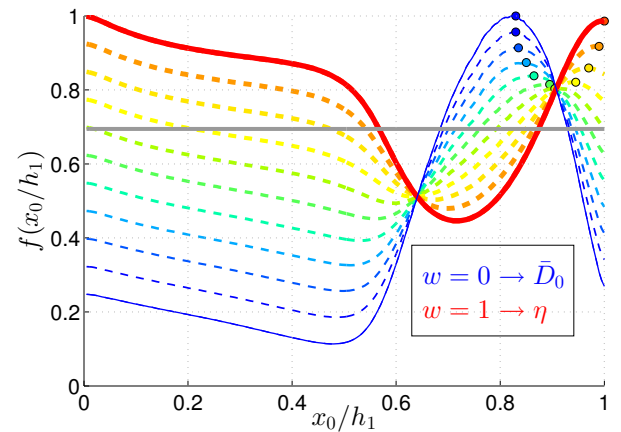


Fig. 8. The function f of Eq. (5) vs. graphene positions in the substrate x_0/h_1 for different values of w . Color (thickness) of the lines shades (increases) from blue to red as w ranges from 0 to 1. For $w = 1$ the efficiency η vs. x_0/h_1 (thick red solid line), and for $w = 0$ directivity at broadside normalized to its maximum \bar{D}_0 (thin blue solid line). Both η and \bar{D}_0 have been calculated at the corresponding cutoff frequency for each graphene position x_0/h_1 . The horizontal grey solid line, representing the efficiency of an equivalent GSL antenna, has been reported for comparison. Colored dots highlight the positions of the maxima of f as w ranges from 0 (blue dot) to 1 (red dot). Maxima are located closer to the interface as the efficiency is weighted more than the directivity.

broadside for $w \rightarrow 0$. In Fig. 8 the function f is represented for various values of w between 0 and 1. As is seen, the maximum condition (small colored dots in Fig. 8) shifts toward positions x_0 in the proximity of the substrate-superstrate interface (positions too close to the ground plane have not been considered for practical considerations) when w increases; in fact, the efficiency of the GDL antenna is improved when the electric field weakly interacts with the graphene sheet. This physical explanation is also corroborated by the modal configuration of the tangential component of the electric field E_z of the fundamental TM leaky mode in a GDL reported in Fig. 9. As is shown, the intensity of the electric field at the graphene position is stronger when graphene is placed at $x_0 = 0.82h_1$

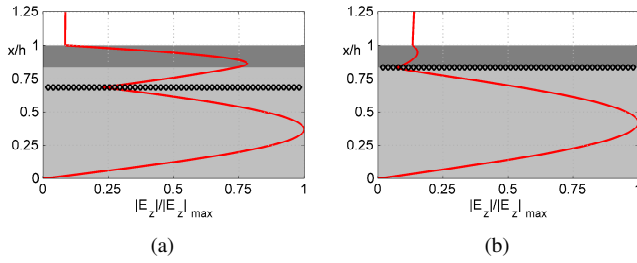


Fig. 9. Field configurations of the tangential component of the electric field E_z for the fundamental TM leaky mode (red line) in a GDL antenna (a) at $f = 1.13$ THz when graphene is placed at $x_0 = 0.82h_1$ and (b) at $f = 1.00$ THz when graphene is placed at the interface $x_0 = h_1$. Light grey, dark grey, and white regions represent the substrate, the superstrate, and the air, respectively, whereas the black diamonds stand for the graphene sheet. The x -axis is normalized to the height of the overall structure $h = h_1 + h_2$.

(see Fig. 9(a)) than when graphene is placed at $x_0 = h_1$ (see Fig. 9(b)). Consequently, the position $x_0 = 0.9h_1$ (see Fig. 8) would lead to both efficiencies η and normalized directivities at broadside \bar{D}_0 almost equal to 80% (with the former definitely higher than that of the GSL, see grey solid line in Fig. 8), thus representing a very good trade-off for the antenna design. It is worth noting that this position is correctly predicted by the maximum condition of Eq. (3) when $w = 0.5$ (see small green dot in Fig. 8), i.e., when the same weight is given to η and \bar{D}_0 in the maximization of Eq. (3).

Finally, calculations of efficiency and directivity have also been performed considering $\tau = 0.5$ ps and $\tau = 1$ ps in order to show the significant impact of the graphene quality on the performance of both GSL and GDL antennas. The choice of these particular values of τ is motivated by the fact that $\tau = 1$ ps is the value used in [7], [12], [13], whereas $\tau = 0.5$ ps seems to be the best value one can hope to achieve for a graphene flake at room temperature when deposited on impurity-free substrates like SiO_2 [33], [51]. A comparison of the values of efficiency, η , of directivity D_0 in dB, and of reconfigurability, given in terms of the angular range $\Delta\theta$ that can be scanned with a bias variation of 1 eV, is reported in Table I for three different values of τ , namely 0.5 ps, 1 ps and 3 ps, for both the GSL and the GDL antennas, when in the latter x_0/h_1 is chosen to maximize directivity at broadside.

As is shown, the directivity D_0 and the reconfigurability $\Delta\theta$ of both GSL and GDL antennas worsen as the quality of graphene (i.e., τ) decreases. Note that for the GSL antenna

the whole angular range from broadside (0°) to endfire (90°) is anyway covered, but with a larger variation of μ_c as τ decreases. However, the efficiency of the GDL antenna counterintuitively improves as τ decreases. This behavior can readily be explained by noting the different positions assumed by the graphene sheet inside the substrate. As it can be seen, when τ decreases, the position which leads the GDL antenna to the configuration that exhibits the maximum directivity at broadside shifts toward the interface where the interaction with the tangential electric field is weaker and thus the efficiency becomes higher. Conversely, the reconfigurability is considerably reduced, as confirmed by the abrupt decrease of $\Delta\theta$ as τ decreases as well. This is mainly due to the fact that, for lower values of τ , graphene ohmic losses are no longer negligible and thus a weaker interaction is preferred for maximizing the directivity, but at the expense of a reduced reconfigurability.

Finally, we have calculated the performance of the GDL antenna when graphene is no longer placed in the position which maximizes directivity at broadside, but in positions that would lead to fixed efficiencies $\eta = 75\%$ and $\eta = 90\%$, respectively. It is worth here to stress that these results are in good agreement with the theoretical limits established in [23] for the efficiency of reconfigurable graphene antennas. Indeed, the theoretical efficiency of a GDL would be upper-bounded by $\eta_{\max} \lesssim 95\%$, as can be inferred looking at the values on the bisector of Fig. 2 in [24] for $\gamma_{\max} = 30$ (the minimum value of γ_{\max} for $0.5 < \tau < 3$ ps and $f = 1$ THz, when one considers the maximum achievable angular range, i.e., $\mu_c = 1$ eV and 0 eV is still greater than 70, according to Eq. (10) in [24]). We should also mention that the results of [23], [24] are based on a far-field representation through spherical waves that holds for antennas with finite dimensions [52], in contrast with our initial assumption of transversely-infinite size. However, any practical FPC-LWA is laterally truncated at a suitable radial distance (which depends on both the desired radiation efficiency and the leakage rate, such that the antenna performance is negligibly different from that obtained in the ideal infinite case [15]).

Results are shown in Table II. As expected, a higher efficiency is paid at the expense of a reduced reconfigurability for the aforementioned reasons. In particular, when standard graphene ($\tau = 0.5$ ps) is considered, an extremely efficient GDL antenna ($\eta = 90\%$) would exhibit poor reconfigurable properties, scanning angular regions being limited to an an-

TABLE I

COMPARISON OF EFFICIENCY η , DIRECTIVITY AT BROADSIDE D_0 AND RECONFIGURABILITY $\Delta\theta$ (SCANNING ANGULAR RANGE) FOR GSL AND GDL ANTENNAS, FOR DIFFERENT QUALITY (τ) OF THE GRAPHENE SHEET.

	τ [ps]	x_0/h_1	f_c [THz]	D_0 [dB]	η [%]	$\Delta\theta$ [$^\circ$]
GSL	3.0	1.000	0.923	14.07	70	90
	1.0	1.000	0.926	12.11	43	90
	0.5	1.000	0.928	10.34	29	90
GDL	3.0	0.820	1.132	18.56	55	70
	1.0	0.910	1.045	16.16	60	37
	0.5	0.940	1.024	14.84	63	28

TABLE II

COMPARISON OF DIRECTIVITY AND RECONFIGURABILITY FOR GDL ANTENNAS WITH DIFFERENT EFFICIENCIES AND FOR DIFFERENT QUALITY (τ) OF THE GRAPHENE SHEET.

	τ [ps]	x_0/h_1	f_c [THz]	D_0 [dB]	$\Delta\theta$ [$^\circ$]
GDL ($\eta = 75\%$)	3.0	0.890	1.062	17.93	44
	1	0.940	1.023	15.73	28
	0.5	0.955	1.015	14.69	25
GDL ($\eta = 90\%$)	3.0	0.940	1.023	16.48	28
	1.0	0.970	1.007	14.61	21
	0.5	0.980	1.003	13.93	18

gular sector of only 18° . A similar conclusion holds also for LWAs based on SPP as has been stressed in [26] for the graphene CRLH metamaterial waveguides, whose performance is severely affected by the graphene quality. However, our last results emphasize even more the better design flexibility of GDL with respect to GSL antennas, and especially with respect to their counterparts based on SPPs.

IV. TECHNOLOGICAL ASPECTS

Technological details about the practical realization of the proposed graphene-based LWAs have already been pointed out in [15]. However, the current literature still lacks some useful considerations about the possible implementation of a suitable biasing scheme. As is known [8], the electrostatic field effect allows for tuning the graphene surface conductivity through the simple application of a DC voltage. As shown in [12], [15], [17], an integral equation relates the chemical potential μ_c to the electrostatic field E_0 . From Fig. 2 in [17], it is seen that a variation of μ_c in the range 0 to 1 eV requires electrostatic fields of several V/nm. However, the voltage breakdown of the dielectric filling the capacitor constituted by the graphene layer and the conductive polymer layer is rarely taken into account in the literature. Indeed, by means of the approximate formula [53], [54]:

$$E_0 \simeq \frac{q_e}{\varepsilon_0 \varepsilon_r} \frac{1}{\pi} \left(\frac{\mu_c}{\hbar v_F} \right)^2 \quad (4)$$

where $v_F \simeq 10^6$ m/s is the Fermi velocity in graphene, it is easy to find that the maximum chemical potential $\mu_{c,\max}$ that can be achieved for a certain material is given by the formula:

$$\mu_{c,\max} = \hbar v_F \sqrt{\frac{\pi \varepsilon_0 \varepsilon_r E_{\text{bd}}}{q_e}} \quad (5)$$

where E_{bd} represents the voltage breakdown of a given dielectric material. If one uses E_{bd} of SiO_2 ($\varepsilon_r = 3.8$, $E_{\text{bd}} = 1.5$ V/nm) which is one of the materials with the highest E_{bd} [55], it comes out that the maximum chemical potential that can be achieved is only 0.436 eV. However, since $\mu_{c,\max}$ depends not only on E_{bd} but also on ε_r , an accurate analysis of Table I in [55] revealed us that the choice of HfO_2 ($\varepsilon_r = 25$ and $E_{\text{bd}} = 0.67$ V/nm), TiO_2 ($\varepsilon_r = 95$ and $E_{\text{bd}} = 0.25$ V/nm), and Al_2O_3 ($\varepsilon_r = 9$ and $E_{\text{bd}} = 1.38$ V/nm) lead to values of $\mu_{c,\max}$ equal to 1.12 eV, 1.33 eV, and 0.92 eV respectively. It is worth here noting that, even if both HfO_2 , TiO_2 , and Al_2O_3 are characterized by a non-negligible loss tangent in the THz range [56], [58], the extremely thin layer that is needed in our design would result in a negligible impact on the performance of the antenna. It should also be noted that these materials (viz., HfO_2 , TiO_2 and Al_2O_3) provide minimal degradation of epitaxial graphene structural properties when integrated with thin dielectric layers [57]. In particular, it is seen that Al_2O_3 is only mildly affected by surface-optical phonon-scattering with respect to other high-permittivity materials [31]. On the other hand, it has been shown that high-permittivity materials are subject to phonon scattering, which reduces the mobility of graphene [31]. A good choice is represented by Alumina (Al_2O_3).

Furthermore, very recently new techniques involving ion gel gate dielectrics [53], [59] seem to provide an innovative solution in order to bias graphene up to 1 eV avoiding the problems posed by the voltage breakdown of the most common dielectric materials.

As a final comment, since in our design the minimum value of the chemical potential for scanning the beam at 45° is of the order of 0.30 eV [20], a suitable solution in order to avoid the use of TiO_2 and HfO_2 could be represented by the possibility of chemically pre-doping graphene. Note also that chemical doping seems to scarcely affect the mobility of carriers in graphene [8].

V. CONCLUSION

The analysis of dissipation losses in plasmonic graphene-based THz antennas has shown to lead to very low radiation efficiencies. A different class of radiators, whose mechanism of radiation is based on the propagation of ordinary leaky modes, has thus been considered in detail. In particular, two graphene-based Fabry-Perot cavity leaky-wave antennas have been analyzed in terms of directivity, efficiency, and reconfigurability, using both original and efficient analytical models based on standard field-matching procedures or transverse equivalent networks and full-wave commercial simulation tools.

It has been found that the considered configurations allow for significantly improving the radiation efficiency at the expense of a slightly reduced pattern reconfigurability. In particular, a trade-off is shown to exist between efficiency, directivity, and reconfigurability when designing either plasmonic or non-plasmonic graphene-based THz antennas. A particular non-plasmonic radiator, i.e., the graphene-based double-layer antenna has shown attractive design flexibility considering the typical antenna constraints.

Finally, the impact of graphene quality on the overall performance of Fabry-Perot cavity leaky-wave antennas has been properly addressed, showing that, when standard graphene is considered, a very efficient radiator can still be designed, provided a certain reduction of its tunability is accepted.

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